## Projective Planes and Beyond

Thematic Program on Rationality and Hyperbolicity
Undergraduate Workshop
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Slides available by emailing migliore.1@nd.edu or from the conference website.

## Lecture 5: Geproci sets

## Introduction

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Theorem. (Bézout) Let $C_{1}$ and $C_{2}$ be plane curves defined by squarefree homogeneous polynomials $f_{1}$ and $f_{2}$, with no common component.
Assume $\operatorname{deg}\left(f_{1}\right)=a$ and $\operatorname{deg}\left(f_{2}\right)=b$.
Then $C_{1}$ and $C_{2}$ meet in at most ab points: $\left|C_{1} \cap C_{2}\right| \leq a b$.
Furthermore ...

- If $C_{1}$ meets $C_{2}$ transversally at every intersection point then $\left|C_{1} \cap C_{2}\right|=a b$.
- If $C_{1}$ meets $C_{2}$ transversally at every intersection point then $\left|C_{1} \cap C_{2}\right|=a b$.
- In any case they meet in a zero-dimensional scheme of degree exactly $a b$.
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Definition. A set $Z$ of $a b$ distinct points in $\mathbb{P}^{2}$ is a complete intersection of type $(a, b)$ if there exist a curve $C_{1}$ of degree a and a curve $C_{2}$ of degree $b$ such that $Z=C_{1} \cap C_{2}$.

We've mentioned complete intersections a few times this week.
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Today we'll look at a recently born area closely related to complete intersections.

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Let $H$ be a plane in $\mathbb{P}^{3}$ and let $P$ be a point not in $H$.
$P$


For us $P$ will usually be a general point.

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Let $Q_{1} \in \mathbb{P}^{3}, Q_{1} \neq P$.

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Let $\lambda_{Q_{1}}$ be the line spanned by $P$ and $Q_{1}$.

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Let $H$ be a plane in $\mathbb{P}^{3}$ and let $P$ be a point not in $H$.


Define $\pi_{P}\left(Q_{1}\right)$ to be $H \cap \lambda_{Q_{1}}$.

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We get the projection $\pi_{P}: \mathbb{P}^{3} \backslash\{P\} \rightarrow H=\mathbb{P}^{2}$.

## Ancient History

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Question. (Francesco Polizzi, MathOverflow, June 8, 2011)
Let $d \geq 3$ be a positive integer and let $Z \subset \mathbb{P}^{3}$ be a subset made of $d^{2}$ distinct points, with the following property:
for a general projection $\pi: \mathbb{P}^{3} \rightarrow \mathbb{P}^{2}$, the subset $\pi(Z) \subset$ $\mathbb{P}^{2}$ is the complete intersection of two plane curves of degree $d$.

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Is it true that $Z$ itself is contained in a plane (and is the complete intersection of two curves of degree d)?

If not, what is a counterexample?

## Comments:

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1. It's clear (as noted by Polizzi) that if $Z$ is itself already a complete intersection in some plane then $\pi_{P}(Z)$ is a complete intersection in H . We'll call these trivial examples.
2. A non-degenerate set of 4 points is also an obvious example.
3. There is no reason to restrict to $d^{2}$ points. Better: does there exist a set $Z$ of $a b$ points in $\mathbb{P}^{3}$ whose general projection is a complete intersection of type $(a, b)$ ?

Without loss of generality assume $a \leq b$.

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Without loss of generality assume $a \leq b$.
4. Trivial if $a=1$. So assume $2 \leq a \leq b$.
5. We'll call such a set $Z$ a geproci set because its General Projection is a Complete Intersection.
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6. For any values of $a, b$ there is a large class of slightly less obvious geproci sets $Z$, as noticed almost immediately in 2011 by Dmitri Panov and posted on MathOverflow:

On any smooth quadric surface $Q$ in $\mathbb{P}^{3}$, take a lines, $L_{1}, \ldots L_{a}$, from one ruling and $b$ lines, $M_{1}, \ldots, M_{b}$ from the other.

(Image from Wikipedia)

We have $\left|L_{i} \cap L_{j}\right|=0$ and $\left|L_{i} \cap M_{j}\right|=1$ for all $i, j$.

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Then $|Z|=a b$.
The projection $\pi(Z)$ from a general point in $\mathbb{P}^{3}$ is the complete intersection of the union of a projected lines with a union of $b$ projected lines. So such a set is a nontrivial geproci set.

We call such a set $Z$ a grid.

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- Do there exist nontrivial, non-grid geproci sets?
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- Describe the geometry of geproci sets.


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- For which $a$ and $b$ do $(a, b)$-geproci sets exist?
- Can we classify the geproci sets somehow?
- Describe the geometry of geproci sets.

This problem went unnoticed for about a decade. l'll talk about some recent answers.

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At the 2018 conference
"Lefschetz Properties and Jordan Type in Algebra, Geometry and Combinatorics"
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in Levico Terme, Italy, one workgroup working on something apparently unrelated stumbled on the first known non-trivial, non-grid example of a geproci set.

We had no idea that Polizzi's question even existed!
This was followed by a paper by Luca Chiantini and JM (TAMS 2021), which included an appendix written by all participants of the workgroup.

The main part of the paper introduced the problem, pointed out the grid example, and made connections to unexpected hypersurfaces. The term "geproci" was not yet introduced.

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When the paper was uploaded to the arXiv, Polizzi pointed out to us his MathOverflow posting and the fact that grids were already known to have the general projection property.

The paper was modified to credit him with the question and to credit Panov with the grid observation.

Shortly afterwards, a group in Poland put out some papers introducing the term "geproci" and making further studies of the examples coming from the Levico work.

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Work continued very quickly and energetically, and we merged into a long-term project involving three of the Polish group, two Italians and two Americans.

This group has now been working together extensively for about 2.5 years on the main questions mentioned above, and many related questions.

This is the POLITUS group.



## Łucja Farnik



Tomasz Szemberg


Justyna Szpond


Luca Chiantini


Giuseppe Favacchio


## Brian Harbourne


me
(This is why doing math is so much fun!!)
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Today we'll talk about some of the results of this work.

All results mentioned from now on are from the POLITUS group.

## The $D_{4}$ configuration

The smallest nontrivial, non-grid example of a geproci set is called the $D_{4}$ configuration.

It is a set of 12 points, not on a quadric surface (hence non-grid,) which nevertheless has a lot of collinearities.

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Theorem. Any nontrivial, non-grid geproci set of 12 points is projectively equivalent to $D_{4}$.

Furthermore, its structure is representative of most known geproci sets.

## What does $D_{4}$ look like?

The $D_{4}$ configuration is the union of a ( 3,3 )-grid, which lies on a unique quadric, and an additional set of three collinear points not on that quadric.

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Hence $D_{4}$ is not a grid.

There are several different ways to decompose $D_{4}$ as such a union!

Up to projective equivalence, the $D_{4}$ configuration consists of the points

$$
\begin{aligned}
& {[0,0,1,0],[0,1,1,1],[0,1,0,1]} \\
& {[1,0,1,1],[0,0,1,1],[1,0,0,0]} \\
& {[1,0,0,1],[0,1,0,0],[1,1,0,1]} \\
& {[0,0,0,1],\left[\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, 1\right],[1,1,1,1]}
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The last row is not on $\mathcal{Q}$.

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Note: There are no four collinear points.

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The top 9 points are a ( 3,3 )-grid on a unique quadric $\mathcal{Q}$.
The last row is not on $\mathcal{Q}$.
Note: There are no four collinear points.
Here's one way to visualize it:

(Not visible: the back vertex point [1, 1, 1, 1], the center point $\left[\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, 1\right]$ and the orthogonal lines through the point $[1,1,1,1]$ along the three back edges.)

## Some Main Results

Theorem. (Classification of $(3, b)$-geproci sets)
Let $Z$ be a $(3, b)$-geproci set $Z$.
Then $Z$ is necessarily a $(3, b)$-grid (on a quadric surface), except for the case $b=4$, where $Z$ can also be the $D_{4}$ configuration (up to projective equivalence).

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Lemma. (Liaison trick)
Let $Z$ be $(a, b)$-geproci and assume that $Z$ contains a set of a collinear points. Then the removal of these points is an ( $a, b-1$ )-geproci set.

This also holds if we allow $a>b$.

Example. Recall the $D_{4}$ configuration, which is (3,4)-geproci:

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Obviously removing the last row leaves a (3,3)-grid, which is geproci.

But also removing any other row leaves a (3,3)-geproci set by the Lemma. This set is also a grid by the CM result.

Theorem. (The Standard Construction)
Fix any integer $a \geq 3$.
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Let $u$ be a primitive a-th root of unity.
Let $\mathcal{Q}$ be the quadric surface in $\mathbb{P}^{3}$ defined by $x w-y z=0$.
Let $X$ be the following $a^{2}$ points; $X$ forms a grid on $\mathcal{Q}$.

| $[1,1,1,1]$, | $[1, u, 1, u]$, | $\left[1, u^{2}, 1, u^{2}\right]$, | $\cdots$ | $\left[1, u^{a-1}, 1, u^{a-1}\right]$ |
| :--- | :--- | :--- | :--- | :--- |
| $[1,1, u, u]$, | $\left[1, u, u, u^{2}\right]$, | $\left[1, u^{2}, u, u^{3}\right]$, | $\cdots$ | $\left[1, u^{a-1}, u, 1\right]$ |
| $\left[1,1, u^{2}, u^{2}\right]$, | $\left[1, u, u^{2}, u^{3}\right]$, | $\left[1, u^{2}, u^{2}, u^{4}\right]$, | $\cdots$ | $\left[1, u^{a-1}, u^{2}, u\right]$ |
| $\vdots$ | $\vdots$ | $\vdots$ |  | $\vdots$ |
| $\left[1,1, u^{a-1}, u^{a-1}\right]$, | $\left[1, u, u^{a-1}, 1\right]$, | $\left[1, u^{2}, u^{a-1}, u\right]$, | $\cdots$ | $\left[1, u^{a-1}, u^{a-1}, u^{a-2}\right]$ |

Let

- $Y_{1}=\left\{[1,0,0,-1],[1,0,0,-u], \ldots,\left[1,0,0,-u^{a-1}\right]\right\}$
$-Y_{2}=\left\{[0,1,-1,0],[0,1,-u, 0], \ldots,\left[0,1,-u^{a-1}, 0\right]\right\}$.

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The points $Y_{1}$ are collinear, the points $Y_{2}$ are collinear, and all lie off $\mathcal{Q}$.

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The points $Y_{1}$ are collinear, the points $Y_{2}$ are collinear, and all lie off $\mathcal{Q}$. Then
(a) Both $X \cup Y_{1}$ and $X \cup Y_{2}$ are $(a, a+1)$-geproci.

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The points $Y_{1}$ are collinear, the points $Y_{2}$ are collinear, and all lie off $\mathcal{Q}$. Then
(a) Both $X \cup Y_{1}$ and $X \cup Y_{2}$ are $(a, a+1)$-geproci.
(b) If a is even then in addition, $X \cup Y_{1} \cup Y_{2}$ is ( $a, a+2)$-geproci.

Let

- $Y_{1}=\left\{[1,0,0,-1],[1,0,0,-u], \ldots,\left[1,0,0,-u^{a-1}\right]\right\}$
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The points $Y_{1}$ are collinear, the points $Y_{2}$ are collinear, and all lie off $\mathcal{Q}$. Then
(a) Both $X \cup Y_{1}$ and $X \cup Y_{2}$ are $(a, a+1)$-geproci.
(b) If a is even then in addition, $X \cup Y_{1} \cup Y_{2}$ is ( $a, a+2$ )-geproci.

Since these geproci sets do not lie on a quadric, they are not grids.

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Fix integers $a, b$ with $1 \leq a \leq b$.

Note the similarity with $D_{4}((3,3)$-grid plus 3 collinear points). In fact $D_{4}$ comes from the standard construction $(a=3)$ (up to projective equivalence).

Corollary. (Numerical classification of geproci sets)
Fix integers $a, b$ with $1 \leq a \leq b$.

- If $a=1$ or $a=2$ then there are no non-trivial, non-grid geproci sets.

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Idea of proof:
It is a consequence of the liaison trick. (Example coming.)

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This consists of an $(8,8)$-grid, $X$, on a quadric $\mathcal{Q}$ plus a set $Y_{1}$ of 8 collinear points not on $\mathcal{Q}$.

Step 2: Use the liaison trick to remove a set of 8 collinear points from $X$, giving an $(8,9-8)=(8,8)$-geproci set.

Step 3: Take four more sets of 8 collinear points from the same ruling of the grid, to get the $(8,8-4)=(8,4)=(4,8)$-geproci set.

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Thus we have a $(4,8)$ non-grid geproci set.

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But in fact there exist nontrivial, non-grid geproci sets that are not half-grids.

But only a very small number are known, and they have large subsets that are half-grids.
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E.g. we conjecture that non-trivial geproci sets in $\mathbb{P}^{3}$ cannot be in linear general position (i.e. no four on a plane).
5. And there are no known geproci sets in $\mathbb{P}^{n}$ for $n \geq 4$.

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Configurations of points in projective space and their projections
arXiv:2209.04820.

