Projective Planes and Beyond

Thematic Program on Rationality and Hyperbolicity

Undergraduate Workshop

Juan Migliore

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Slides available by emailing migliore.1@nd.edu or from the conference website.

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Projective Planes and Beyond

- Lecture 1: Projective Planes
- Lecture 2: A first look at Hilbert functions
- Lecture 3: Who or what lives in projective space?
- Lecture 4: Lefschetz properties
- Lecture 5: Geproci sets

Lecture 1: Projective planes

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Three important branches of mathematics are

algebraic geometry

- commutative algebra
- combinatorics

These branches interweave and merge in many points. One of these is called projective geometry.

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These branches interweave and merge in many points. One of these is called projective geometry.

Today we'll focus on the projective plane, looking at it from different points of view.

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Motivating Question. Given a point, *P*, in the plane and a line, λ , that does not pass through *P*,

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In high school we learn that the answer is one, the unique line parallel to λ .

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This is true for the Euclidean plane.

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But there are non-Euclidean planes, and for these the answer can be "zero", or it can be "infinitely many."

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One of these is the projective plane.

Here we'll see that there are no parallel lines, i.e. the answer to the question is "zero."

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But there are non-Euclidean planes, and for these the answer can be "zero", or it can be "infinitely many."

One of these is the projective plane.

Here we'll see that there are no parallel lines, i.e. the answer to the question is "zero."

So what's the idea behind the projective plane? Let's start from scratch: axioms!

There are different ways of presenting them; we'll follow G. Eric Moorhouse, "Incidence Geometry" (available online).

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such that the following axioms hold:

P1. For any two distinct points, there is exactly one line through them.

P2. Any two distinct lines meet in exactly one point.

P3. There exist four points such that no three are collinear.

Remark. One defines an affine plane by just changing P2:

AP1. For any two distinct points, there is exactly one line through both.

AP2. Given any line ℓ and any point *P* not on ℓ , there is exactly one line through *P* that does not meet ℓ .

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Remarks.

- 1. Answering our original question, in the affine plane there is exactly one parallel line. In the projective plane, none.
- Notice that in either of these sets of axioms, we don't assume the real numbers ℝ, or even any underlying field.

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Now let's look at

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One of these was discovered by Gino Fano (1871 – 1952).

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The Fano projective plane



Gino Fano 1871 – 1952 (Biblioteca Digitale Italiana di Matematica)

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Oops, sorry! That's the symbol for the Deathly Hallows from Harry Potter.



Oops, sorry! That's the symbol for the Deathly Hallows from Harry Potter. Here's the Fano plane:



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The plane consists of exactly 7 points.

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You can check axioms P1 – P3. We'll come back to this plane.

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Theorem. For every finite projective plane we have:

- (a) Any two lines have the same number of points. (!!!)
 Let's denote this number by d + 1. The number d is the order of the projective plane.
- (b) There are also d + 1 lines through every point.
- (c) The plane contains $d^2 + d + 1$ points, i.e. $|\mathfrak{P}| = d^2 + d + 1$.
- (d) The plane also has $d^2 + d + 1$ lines, i.e. $|\mathfrak{L}| = d^2 + d + 1$.

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(d) The plane also has $d^2 + d + 1$ lines, i.e. $|\mathfrak{L}| = d^2 + d + 1$.

(Notice the duality between lines and points.)

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Proof: (From Moorhouse.)

(a) Any two lines have the same number of points.

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Proof: (From Moorhouse.)

(a) Any two lines have the same number of points.

Denote by [*P*] the set of lines through a point *P*, and by $[\ell]$ the set of points on a line ℓ .

If $P \notin \ell$ then an obvious bijection $[\ell] \rightarrow [P]$ consists of mapping each point $Q \in \ell$ to the line PQ.



Exercise. Use the axioms to see that it's a bijection.

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Let ℓ and *m* be distinct lines. Exercise: Using the axioms, check that it follows that there exists a point $P \notin [\ell] \cup [m]$.

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Then the previous argument gives

$$|[\ell]| = |[P]| = |[m]| = d + 1.$$

Thus any two lines have the same number of points; this proves (a).

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Thus any two lines have the same number of points; this proves (a).

(b) There are also d + 1 lines through every point.

We haven't quite proven (b) yet since the *P* guaranteed by the exercise might conceivably depend on the choice of ℓ and *m*.

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Let *P* be any point. By axiom (P3) there exists a line ℓ not passing through *P*; then we have seen

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This is (b).

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Let *P* be any point. By axiom (P3) there exists a line ℓ not passing through *P*; then we have seen

$$|[P]| = |[\ell]| = d + 1.$$

This is (b).

(c) The plane contains $d^2 + d + 1$ points, i.e. $|\mathfrak{P}| = d^2 + d + 1$.

The total number, $d^2 + d + 1$, of points comes from looking at all lines through *P*, counting points on each other than *P*, then adding in *P*:

$$|\mathfrak{P}| = (d+1) \cdot d + 1 = d^2 + d + 1.$$

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(d) The plane also has $d^2 + d + 1$ lines, i.e. $|\mathfrak{L}| = d^2 + d + 1$. Fix a line ℓ and for each of the d + 1 points on ℓ count lines through it other than ℓ . Then add 1 for ℓ :

 $(d+1) \cdot d + 1 = d^2 + d + 1.$

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Remarks.

1. It's an open question to know exactly for which integers *d* there exists a projective plane of order *d*. (More on this question shortly.)

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Remarks.

- 1. It's an open question to know exactly for which integers *d* there exists a projective plane of order *d*. (More on this question shortly.)
- 2. Note that the statements about points and the statements about lines look the same, and even the proofs are the same. This is not a coincidence.

We have the important notion of duality: any theorem about (points, lines) remains true about (lines, points).

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Theorem (duality)

If $(\mathfrak{P}, \mathfrak{L})$ is a projective plane, then the dual structure $(\mathfrak{L}, \mathfrak{P})$ is also a projective plane (replacing "contained in" by "contains").

Proof:

(Again from Moorhouse.) Let $(\mathfrak{P}, \mathfrak{L})$ be a projective plane. Since $(\mathfrak{P}, \mathfrak{L})$ satisfies (P1) and (P2), the dual structure $(\mathfrak{L}, \mathfrak{P})$ satisfies (P2) and (P1).

Since $(\mathfrak{P}, \mathfrak{L})$ has four lines with no three concurrent (easy exercise), $(\mathfrak{L}, \mathfrak{P})$ satisfies (P3).

Some more remarks:

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3. If *p* is a prime then there exists a projective plane of order p^n for any $n \ge 1$. (We'll see the idea shortly.)

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- 3. If *p* is a prime then there exists a projective plane of order p^n for any $n \ge 1$. (We'll see the idea shortly.)
- 4. So orders 2, 3, 4, 5, 7, 8, 9, 11, 13 exist.

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- 5. Famous conjecture: Every finite projective plane has order p^n for some prime p and some integer $n \ge 1$.

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- 6. (Bruck-Ryser theorem (1949).) If a finite projective plane of order d exists, and d is congruent to 1 or 2 (mod 4), then d must be the sum of two squares.

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- 6. (Bruck-Ryser theorem (1949).) If a finite projective plane of order d exists, and d is congruent to 1 or 2 (mod 4), then d must be the sum of two squares.
- 7. That rules out order 6. Order 10 was ruled out by a complicated computer check. First open case: order 12.

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Example. Let's check that the order of the Fano projective plane is d = 2.

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Example. Let's check that the order of the Fano projective plane is d = 2.



2 + 1 = 3 points on each line and 3 lines through each point.

▶ $2^2 + 2 + 1 = 7$ points in all and 7 lines in all.

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Now let's look at a different projective plane, namely the real projective plane, $\mathbb{P}^2_{\mathbb{R}}.$

We'll start from scratch from an apparently completely different perspective.

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Look at this pair of parallel lines:

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You can imagine that if there were points infinitely far from the camera, these two "lines" would meet at one of those points.

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You can imagine that if there were points infinitely far from the camera, these two "lines" would meet at one of those points.

And what if there are more than two parallel lines?

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All the parallel lines meet in the same "point at infinity!"

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All the parallel lines meet in the same "point at infinity!"

This is the point of view of projective geometry (at least over the reals).

Let's see how to get the real projective plane from the familiar Euclidean plane by adding points at infinity.

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For each family of parallel lines in the Euclidean plane (i.e. lines that don't meet)

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parallel lines

with slope m_1

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parallel lines with slope m_1

we add a point at infinity where all the lines in the family meet.

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point at infinity

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We've constructed the real projective plane, denoted $\mathbb{P}^2_{\mathbb{R}}$

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Exercise. Verify that our real projective plane $\mathbb{P}^2_{\mathbb{R}}$ satisfies the axioms for a projective plane!

Let's look at a different approach to get $\mathbb{P}^2_{\mathbb{R}}$.

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A different (but equivalent) construction of $\mathbb{P}^2_{\mathbb{R}}$

Alternatively, we can define $\mathbb{P}^2_{\mathbb{R}}$ as the set of lines through the origin in $\mathbb{R}^3.$

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Line λ_P not on (x, y)-plane $\leftrightarrow P \in \mathbb{R}^2$.





As $P \rightsquigarrow \infty$ in \mathbb{R}^2 in any direction,

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Aside: We can also think of $\mathbb{P}^2_{\mathbb{R}}$ topologically as a sphere with antipodal points identified, but we'll skip this point of view.

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Next: From this point of view, $\mathbb{P}^2_{\mathbb{R}}$ comes equipped with a set of coordinates over $\mathbb{R}.$

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Next: From this point of view, $\mathbb{P}^2_{\mathbb{R}}$ comes equipped with a set of coordinates over $\mathbb{R}.$

A line through the origin passing through a point $(a, b, c) \in \mathbb{R}^3$ $((a, b, c) \neq (0, 0, 0))$ can be described as

 $\{(ta, tb, tc) \mid t \in \mathbb{R}\}.$

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So

$$\mathbb{P}^2_{\mathbb{R}} = \left\{ egin{array}{c} (a,b,c)
eq (0,0,0) ext{ and } \ [a,b,c] = [ta,tb,tc] orall 0
eq t \in \mathbb{R} \end{array}
ight.$$

(E.g. [1, 2, 3] = [2, 4, 6].)

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Furthermore, lines in this projective plane (union of points) are those projective points (lines through the origin) lying on the same plane.

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The real projective plane really used the fact that we were using the real numbers.

But this last point of view with coordinates suddenly looks independent of the field again!!

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This leads to what Moorhouse calls the classical projective planes. (Again follow Moorhouse p. 35.)

We've already seen the main idea when we talked about $\mathbb{P}^2_{\mathbb{R}}$, and we've seen the first example when we saw the Fano plane!!

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Let V be a 3-dimensional vector space over an arbitrary field k.

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Take as points and lines the subspaces of V of dimension one and two, respectively.

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Let V be a 3-dimensional vector space over an arbitrary field k.

Take as points and lines the subspaces of V of dimension one and two, respectively.

In this case the line \overline{PQ} spanned by points *P* and *Q* is the 2-dimensional subspace spanned by the 1-dimensional subspace *P* and the 1-dimensional subspace *Q*.

Incidence is containment: a point *P* lies on a line ℓ if and only if $P \subset \ell$ as subspaces of *V*.

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Incidence is containment: a point *P* lies on a line ℓ if and only if $P \subset \ell$ as subspaces of *V*.

Any non-zero vector (a, b, c) in *V* is identified with any scalar multiple (ta, tb, tc), so we get coordinates [a, b, c] for \mathbb{P}_F^2 in the same way as we did when $F = \mathbb{R}$.

The smallest classical projective plane, constructed from the field $k = \mathbb{Z}_2$, is the Fano plane. (The following picture is from Moorhouse.)

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Here points are denoted $\langle (a, b, c) \rangle$, which we are denoting [a, b, c].

The line
$$ax + by + cz$$
 is denoted by $\left\langle \begin{pmatrix} a \\ b \\ c \end{pmatrix} \right\rangle$.

In Lecture 3 we'll see what we can do with these coordinates.

Meanwhile, in the next lecture we'll introduce a special case of the important notion of Hilbert functions coming from the finite projective planes we've studied today.

In Lecture 3 we'll continue our study of Hilbert functions and bring in some more geometry.

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