Introduction to RDA

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Lecturer, San Jose State University
School of Library and Information Science

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RDA Webinar Series

- October 13 -- RDA for Administrators: Managing the Transition in Your Library (Christopher Cronin)
- November 3 -- RDA: Benefits for Users and Catalogers (Christine Oliver)
- December 1 -- Don’t Tilt at Windmills, an Active Learning Approach to Teaching FRBR (James Ascher and Peter J. Rolla)
- December 15 -- FRBR as a Foundation for RDA (Robert Maxwell)
Transition to RDA: an ALCTS Webinar

ALCTS Events

Check out the ALCTS website for upcoming Webinars, E-Forums, and other events

http://www.ala.org/alcts

Thank you for attending!

Transition to Resource Description and Access (RDA)

FIRST STEPS
Transition to RDA: an ALCTS Webinar

Objectives

- Understand the basic organization of RDA
- Understand the difference in RDA and the RDA Toolkit
- Understand the requirements of an RDA record
- Understand basic differences between an AACR2 and RDA record – What has changed?
RDA vs. RDA Toolkit

- RDA is the content of the new cataloging guidelines.

- RDA Toolkit is a current electronic product available from ALA Publishing.
  
  [http://www.rdatoolkit.org/](http://www.rdatoolkit.org/)

Types of Description (RDA 1.5.1)

- Comprehensive Description

- Analytical Description

- Hierarchical Description
RDA Core Elements

- Title proper (245 $a) (RDA 2.3.2)
- Statement of responsibility relating to title proper (first) (245 $c) (RDA 2.4.1)
- Edition Statement (250 $a) (RDA 2.5)
- Serial numbering (362 $a) (RDA 2.6)
- Publication Data (260 $a-$c) (RDA 2.8)
- Extent of resource (300 $a) (RDA 3.4)
- Carrier type (338 $a, $2) (RDA 3.3)
### 3.3.1.3 Recording Carrier Type

<table>
<thead>
<tr>
<th>Audio carriers</th>
<th>Computer carriers</th>
<th>Microform carriers</th>
</tr>
</thead>
<tbody>
<tr>
<td>audio cartridge</td>
<td>computer card</td>
<td>aperture card</td>
</tr>
<tr>
<td>audio cylinder</td>
<td>computer chip cartridge</td>
<td>microfiche</td>
</tr>
<tr>
<td>audio disc</td>
<td>computer disc</td>
<td>microfiche cassette</td>
</tr>
<tr>
<td>audio roll</td>
<td>computer disc cartridge</td>
<td>microfilm cartridge</td>
</tr>
<tr>
<td>audiocassette</td>
<td>computer tape cartridge</td>
<td>microfilm cartridge</td>
</tr>
<tr>
<td>audiotape reel</td>
<td>computer tape cassette</td>
<td>microfilm reel</td>
</tr>
<tr>
<td>sound-track reel</td>
<td>computer tape reel</td>
<td>microfilm slip</td>
</tr>
</tbody>
</table>

- **Unmediated carriers**
  - card
  - flipchart
  - object
  - roll
  - sheet
  - volume

- **Projected image carriers**
  - film cartridge
  - film cassette
  - film reel
  - filmstrip
  - filmstrip cartridge
  - overhead transparency
  - slide

- **Video carriers**
  - video cartridge
  - videocassette
  - videodisc
  - videotape reel

- **Computer carriers**
  - computer card
  - computer chip cartridge
  - computer disc
  - computer disc cartridge
  - computer tape cartridge
  - computer tape cassette
  - computer tape reel
  - online resource

- **Microform carriers**
  - aperture card
  - microfiche
  - microfiche cassette
  - microfilm cartridge
  - microfilm cartridge
  - microfilm reel
  - microfilm slip
  - microopaque

- **Unmediated carriers**
  - card
  - flipchart
  - object
  - roll
  - sheet
  - volume

- **Projected image carriers**
  - film cartridge
  - film cassette
  - film reel
  - filmstrip
  - filmstrip cartridge
  - overhead transparency
  - slide

- **Video carriers**
  - video cartridge
  - videocassette
  - videodisc
  - videotape reel

- **Computer carriers**
  - computer card
  - computer chip cartridge
  - computer disc
  - computer disc cartridge
  - computer tape cartridge
  - computer tape cassette
  - computer tape reel
  - online resource

- **Microform carriers**
  - aperture card
  - microfiche
  - microfiche cassette
  - microfilm cartridge
  - microfilm cartridge
  - microfilm reel
  - microfilm slip
  - microopaque
Core Elements in Resource Description

- Series (490 $a) (2.12)
- Identifiers for the Manifestation (RDA 2.15)
  - ISBN, ISSN, and other standard numbers based on internationally recognized schemes
  - Numbers assigned by publishers, distributors, government agencies based on internal schemes
  - “Fingerprints” (i.e., identifiers used in antiquarian books)
  - Not URLs (RDA 4.6)

“Core if” Elements

- RDA 2.8.6
- Date of publication— if no publication date can be supplied, give “[date of publication not identified]”
- Then date of distribution (if available) become core such as:
  - [On title page: Distributed in 2010]
Data Transcription

- General Guidelines on Transcription
- RDA 1.7.1. Transcribe elements as they appear on the source. ICP Principle: Take what you see.
- Alternatives
  - Use agency in-house guidelines or style manual, etc., (e.g., The Chicago Manual of Style)
  - Accept data from scanned or downloaded source on the resource.

So what is missing?

- Other title information (245 $b)
- Dimensions (300 $c)
- Bibliographic notes (5xx)
Transition to RDA: an ALCTS Webinar
Terminology Changes

**AACR2**
- area
- heading
- uniform title
- main entry
- author, composer, etc.
- physical description

**RDA**
- element
- authorized access point
- conventional collective title for a work
- preferred title + authorized access point for creator if appropriate
- creator
- carrier description

Statements of Responsibility
What’s the RDA core statement of responsibility?  
(assume there is no title page)

Zoom in

Foreword by Arnold Palmer  
Written by Frederick C. Klein  
Illustrated by Mark Anderson
RDA Core Comparison

Core
245 For the love of golf / $c written by Frederick C. Klein.

Beyond Core (LC Core Plus)
245 For the love of golf / $c foreword by Arnold Palmer ; written by Frederick C. Klein ; illustrated by Mark Anderson.

Examples from LC

http://www.loc.gov/catdir/cpso/RDAtest/book.s.doc
ACLCTS Webinar: "Transition to RDA: LC core elements only + alternative to use found capitalization"

AACR2:
100 1# $a Auletta, Richard P.
245 10 $a 201 Swedish verbs : $b fully conjugated in all the tenses : alphabetically arranged / $c Richard P. Auletta ; Leif Sjöberg, editor.
250 ## $a Paper ed.
260 ## $a Woodbury, N.Y. : $b Barron’s Educational Series, $c c1975.
300 ## $a lx, 248 p. ; $c 18 cm.
504 ## $a Includes bibliographical references (p. 239-248).

RDA (LC core elements only + alternative to use found capitalization):
100 1# $a Auletta, Richard P.
245 10 $a 201 SWEDISH VERBS : $b FULLY CONJUGATED IN ALL THE TENSES : Alphabetically arranged / $c Richard P. Auletta, Assistant Professor of Foreign Languages and Linguistics, Director, Program in Critical Languages, C.W. Post College, Long Island University, Greenvale, New York 11548.
300 ## $a lx, 248 pages ; $c 18 cm
336 ## $a text $2 marccontent (rdaccontent)
337 ## $a unmediated $2 marcmedia (rdamedia)
338 ## $a volume $2 marccarrier (rdacarrier)
504 ## $a Includes bibliographical references (pages 239-248).
“And I Was There”

PEARL HARBOR AND MIDWAY—BREAKING THE SECRETS

by Rear Admiral Edwin T. Layton, U.S.N. (Ret.),
with Captain Roger Pineau, U.S.N.R. (Ret.),
and John Cornwell

WILLIAM MORROW AND COMPANY, INC.
NEW YORK
Transition to RDA: an ALCTS Webinar

**Titles/Abbreviations in Statements of Responsibility**

**AACR2 1.1F7**
Include titles and abbreviations of titles of nobility, address, honour, distinction… Otherwise omit them.

245 / ‡c by Edwin T. Layton, with Roger Pineau and John Costello.

**RDA 2.4.1.4**
Transcribe statement of responsibility in form in which it appears.


**Statements of Responsibility Outside of Chief Source**

**AACR2 1.1A2**
245 / ‡c [edited and published by the Collection Institute; edited by Elena Marzulla].

Statement of responsibility taken from title page verso.

**RDA 2.4.2.2**
245 / ‡c edited and published by the Collection Institute; edited by Elena Marzulla.

Only statements taken from outside the resource are enclosed in square brackets.
Access Points

More than 3 (same function) in 245 ±c

AACR2 1.1F5
245 / ±c Jonathan Murdoch … [et al.].

RDA 2.4.1.5

Optional Omission
245 / ±c Jonathan Murdoch [and three others].
Transition to RDA: an ALCTS Webinar

The Girl Who Fell from the Sky

a novel by Heidi W. Durrow

Noun Phrases in Statement of Responsibility

AACR 1.1F12

245 14 $a The girl who fell from the sky : $b a novel / $c by Heidi W. Durrow.

RDA 2.4.1.8

245 14 $a The girl who fell from the sky / $c a novel by Heidi W. Durrow.
## Access Points

<table>
<thead>
<tr>
<th>AACR2 21.7B1, 21.30D</th>
<th>RDA 6.27.1.4, 20.2.1.3</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Title Main Entry</strong></td>
<td><strong>Preferred Access Point</strong></td>
</tr>
<tr>
<td>100 1 Murdoch, Jonathan</td>
<td>700 1 Lowe, Philip the author.</td>
</tr>
<tr>
<td>700 1 Murdoch, Jonathan</td>
<td>700 1 Ward, Neil the author.</td>
</tr>
<tr>
<td>700 1 Ward, Neil</td>
<td>700 1 Marsden, Terry the author.</td>
</tr>
</tbody>
</table>

## CREATOR

- **19.2 Core Element**

  If there is more than one creator responsible for the work, only the creator having principal responsibility named first in resources embodying the work or in reference sources is required. If principal responsibility is not indicated, only the first-named creator is required.
Transition to RDA: an ALCTS Webinar

The Commission is an independent, jointly sponsored initiative of YMCA of the USA, Dartmouth Medical School, and the Institute for American Values. The commission's principal investigator is Dr. Kathleen Kverner Kline of Dartmouth Medical School.

Commission on Children at Risk

Peter L. Benson, Search Institute
Elizabeth Burger, The American Academy of Child and Adolescent Psychiatry
David Wurkenbren, Institute for American Values
T. Berry Brazelton, Harvard Medical School
Robert Coles, Harvard University
James P. Comer, Yale University
William J. Doherty, University of Minnesota
Kenneth L. Gladish, YMCA of the USA
David Gutmann, Northwestern University
Thomas R. Insel, Emory University
Leonard A. Jacob, DePaul University
Byron Johnson, University of Pennsylvania
Robert Karen, Adelphi University
Kathleen Kverner Kline, Dartmouth Medical School (Principal Investigator)
Susan Linn, Harvard Medical School
Arthur C. Marcil, Jr., Dartmouth Medical School (Co-Investigator)

Hardwired to Connect
The New Scientific Case for Authoritative Communities

LARGE AND GROWING numbers of U.S. children and young people are suffering from depression, anxiety, attention deficit, conduct disorders, thoughts of suicide, and other serious mental and behavioral problems. Why? What can be done to reverse this trend? In this pioneering report, the Commission on Children at Risk, a panel of 33 leading children's doctors, neuroscientists, research scholars and youth service professionals, draw upon a large body of recent research showing that children are biologically primed ("hardwired") for enduring connections to others and for moral and spiritual meaning. The authors introduce a new public policy and social science term — "authoritative communities" — to describe the ten essential traits across social institutions that produce better outcomes for children.

The report proposes three major social goals and makes 18 recommendations for social and public policy change.

Authors include: T. Berry Brazelton, Robert Coles, James P. Comer, Thomas Insel, Kathleen Kverner Kline, Alvin Poussaint, Allan H. Schore, Linda Spear, Stephen J. Suomi, and Judith Wallerstein. The Commission on Children at Risk is an independent, jointly sponsored initiative of YMCA of the USA, Dartmouth Medical School, and the Institute for American Values.

"A major report..." — William Raspberry, nationally syndicated columnist, 9/22/03
CREATOR

19.2.1 Basic Instructions on Recording Creators

19.2.1.1 Scope

A creator is a person, family, or corporate body responsible for the creation of a work.

Creators include persons, families, or corporate bodies jointly responsible for the creation of a work. Persons, families, or corporate bodies jointly responsible for the creation of a work may perform the same role (e.g., as in a collaboration between two writers), or they may perform different roles (e.g., as in a collaboration between a composer and a lyricist).

A person, family, or corporate body responsible for compiling an aggregate work may be considered to be a creator of the compilation if the selection, arrangement, editing, etc., of content for the compilation effectively results in the creation of a new work.

A person, family, or corporate body responsible for modifying a previously existing work in a way that substantially changes the nature or content of the original is considered to be a creator of the new work.
Transition to RDA: an ALCTS Webinar

Who’s the Creator?

Kermit, the Frog

Authorized access point representing the creator for: Before you leap: a frog’s-eye view of life’s greatest lessons / by Kermit the Frog

MUPPETS ARE CREATORS TOO!!
Persons include fictitious entities (RDA 9.0)
So who’s the creator of this work?

Bell, Florence

Corporate Body Entry Under AACR2

21.1B2. General rule

Enter a work emanating from one or more corporate bodies under the heading for the appropriate corporate body (see 21.4B, 21.5B) if it falls into one or more of the following categories:

a) those of an administrative nature dealing with the corporate body itself or
   - its internal policies, procedures, finances, and/or operations or
   - its officers, staff, and/or membership (e.g., directories) or
   - its resources (e.g., catalogues, inventories)

b) some legal, governmental, and religious works of the following types:
   - laws (see 21.31)
   - decrees of the chief executive that have the force of law (see 21.31)
   - administrative regulations (see 21.32)
   - constitutions (see 21.33)
   - court rules (see 21.34)
   - treaties, etc. (see 21.35)
   - court decisions (see 21.36)
   - legislative hearings
   - religious laws (e.g., canon law)
   - liturgical works (see 21.39)
Corporate Body Entry Under AACR2

c) those that record the collective thought of the body (e.g., reports of commissions, committees, etc.; official statements of position on external policies)
d) those that report the collective activity of a conference (e.g., proceedings, collected papers), of an expedition (e.g., results of exploration, investigation), or of an event (e.g., an exhibition, fair, festival) falling within the definition of a corporate body (see 21.1B1), provided that the conference, expedition, or event is named in the item being catalogued
e) those that result from the collective activity of a performing group as a whole where the responsibility of the group goes beyond that of mere performance, execution, etc. Publications resulting from such activity include sound recordings, films, videorecordings, and written records of performances. (For corporate bodies that function solely as performers on sound recordings, see 21.23.)
f) cartographic materials emanating from a corporate body other than a body that is merely responsible for their publication or distribution.

In case of doubt about whether a work falls into one or more of these categories, treat it as if it does not.

Corporate Body Entry Under RDA

Corporate Bodies Considered to be Creators

Corporate bodies are considered to be creators when they are responsible for originating, issuing, or causing to be issued, works that fall into one or more of the following categories:

a) works of an administrative nature dealing with any of the following aspects of the body itself:
   - i) its internal policies, procedures, finances, and/or operations or
   - ii) its officers, staff, and/or membership (e.g., directories) or
   - iii) its resources (e.g., catalogues, inventories)

b) works that record the collective thought of the body (e.g., reports of commissions, committees; official statements of position on external policies, standards)
Corporate Body Entry Under RDA

c) works that report the collective activity of
   - i) a conference (e.g., proceedings, collected papers) or
   - ii) an expedition (e.g., results of exploration, investigation) or
   - iii) an event (e.g., an exhibition, fair, festival) falling within the definition of a corporate body (see \[18.1.2\])

provided that the conference, expedition, or event is named in the resource being described

d) works that result from the collective activity of a performing group as a whole where the responsibility of the group goes beyond that of mere performance, execution, etc.

e) cartographic works originating with a corporate body other than a body that is merely responsible for their publication or distribution.

f) legal works of the following types:
   - i) laws of a political jurisdiction
   - ii) decrees of a head of state, chief executive, or ruling executive body
   - iii) bills and drafts of legislation
   - iv) administrative regulations, etc.
   - v) constitutions, charters, etc.
   - vi) court rules
   - vii) treaties, international agreements, etc.
   - viii) charges to juries, indictments, court proceedings, and court decisions.
Transition to RDA: an ALCTS Webinar
Compilations Lacking Collective Title

AACR2 21.7C1

- 100 1_ Dibdin, Michael.
- 700 1_ MacDonald, John D. $t Empty copper sea.
- 700 1_ Hallahan, William H. $t Catch me, kill me.

AACR2 21.7C1

If a work falling into one of the categories given in 21.7A1 lacks a collective title, enter it under the heading appropriate to the first work named in the chief source of information of the item being catalogued. If the item lacks a collective chief source of information, enter it under the heading appropriate to the first work in the item. Make added entries for editors/compilers and for the other works as instructed in 21.7B1, insofar as it applies to works without a collective title.
RDA 6.27.1.4

If the compilation lacks a collective title, construct separate access points for each of the works in the compilation.

Compilations Lacking Collective Title

RDA 6.27.1.4

- 700 12 MacDonald, John D. $t Empty copper sea.
- 700 12 Hallahan, William H. $t Catch me, kill me.
RDA 6.27.1.4 Alternative

- Instead of (or in addition to) constructing access points for each of the works in the compilation, construct an authorized access point representing the compilation using a devised title formulated according to the instructions given under 2.3.2.11.
- For the U.S. RDA Test the decision on the alternative is: Yes, devise a title.
- Note: Cataloger’s judgment which convention to use (and whether to use more than one) to express relationships: could be only the 505 (description) or could be only 700s (authorized access points) or could be both.

Compilations Lacking Collective Title

RDA 6.27.1.4 Alternative

- 245 00  [Three detective and mystery stories].
- 500  Title devised by cataloger.
- 505 0_  The last Sherlock Holmes story / Michael Dibdin -- The empty copper sea / by John D. MacDonald -- Catch me, kill me / by William H. Hallahan.
- 700 12 MacDonald, John D. $t Empty copper sea.
- 700 12 Hallahan, William H. $t Catch me, kill me.
Variant Titles (AACR2)

- AACR2 21 Choice of Access Points
- 21.30J2 Variant title. If considered necessary for access, make an added entry for any version of the title (e.g., cover title …) that is significantly different from the title proper.

Variant Titles (RDA)

- RDA 2.3 Title
- 2.3.6.3 Recording Variant Titles
- Record variant titles that are considered to be important for identification or access applying the basic instructions on recording titles given under 2.3.1
Personal Name Headings

**AACR2 22.15C, 22.19B**

Do not add other titles or terms associated with names entered under surname unless they are required to distinguish between two or more persons with the same name and neither dates nor fuller forms of name are available (see 22.19B).

100 1_ Downey, Robert, $d 1965-

*Usage: Robert Downey, Jr.*

**RDA 9.2.2.9.5**

Treat Filho, Junior, Neto, Netto, or Sobrinho following a Portuguese surname as part of the surname.

Record similar terms (e.g., Jr., Sr., fils, père) and numbers (e.g., III) occurring in languages other than Portuguese following the person’s forename or forenames, preceded by a comma.

100 1_ Downey, Robert, $c Jr., $d 1965-

---

**AACR2 22.17A**

Smith, John, 1900 Jan. 10- ->

Smith, John, 1836 or 7-1896 ->

Smith, John, ca. 1837-1896 ->

Smith, John, 1837-ca. 1896 ->

Smith, John, b. 1825 ->

Smith, John, d. 1859 ->

**RDA 9.3.2.3, 9.19.1.3**

Smith, John, 1900 January 10-

Smith, John, 1836 or 1837-1896

Smith, John, approximately 1837-1896

Smith, John, 1837-approximately 1896

Smith, John, 1825-

Smith, John, -1859
### Personal Name Headings

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Brown, George, Captain -&gt;</td>
<td>Brown, George (Naval officer) or Brown, George [undifferentiated]</td>
</tr>
<tr>
<td>Brown, George, Rev. -&gt;</td>
<td>Brown, George (Clergyman)</td>
</tr>
<tr>
<td>Brown, George, Ph. D. -&gt;</td>
<td>Brown, George (Profession or Occupation)  or Brown, George (Field of activity) or Brown, George [undifferentiated]</td>
</tr>
<tr>
<td>Brown, George, flutist -&gt;</td>
<td>Brown, George (Flutist)</td>
</tr>
</tbody>
</table>

### Parts of the Bible

<table>
<thead>
<tr>
<th>AACR2 25.18A</th>
<th>RDA 6.23.2.9, 6.30.2.2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bible. O.T.</td>
<td>Bible. Old Testament</td>
</tr>
<tr>
<td>Bible. N.T.</td>
<td>Bible. New Testament</td>
</tr>
<tr>
<td>Bible. O.T. Ezra</td>
<td>Bible. Ezra</td>
</tr>
<tr>
<td>Bible. N.T. Revelation</td>
<td>Bible. Revelation</td>
</tr>
<tr>
<td>Bible. N.T. Corinthians, 2nd</td>
<td>Bible. Corinthians, 2nd</td>
</tr>
<tr>
<td>Bible. O.T. Genesis XI, 26-XX, 18</td>
<td>Bible. Genesis, XI, 26-XX, 18</td>
</tr>
<tr>
<td>Bible. O.T. Pentateuch</td>
<td>Bible. Pentateuch</td>
</tr>
<tr>
<td>Bible. N.T. Gospels</td>
<td>Bible. Gospels</td>
</tr>
</tbody>
</table>

*In RDA, individual books and groups of books of the Bible are recorded as a subdivision of Bible, rather than as a subdivision of O.T. or N.T.*
### Abbreviations

**AACR2**
- United States. $b Dept. of Defense.
  (adopted practice)
- Book Marketing Ltd.
- NetLibrary, Inc.

**RDA**
- United States. $b Department of Defense.
- Book Marketing Limited.
- NetLibrary, Incorporated.

### AACR2 Change Never Implemented!

**AACR2 Appendix B.2**

**HEADINGS**

- **B.2A.** Use only the following categories of abbreviations in headings:
  - 1) those that are integral parts of the heading (e.g., St. (Saint)), if the person or corporate body uses the abbreviation
  - 2) designations of function (e.g., comp.) (see 21.0D)
  - 3) certain names of larger places added to the name of another place (see 23.4 or to the name of a corporate body (see 24.4C2)
  - 4) certain terms used with dates (e.g., b.,fl.) (see 22.17)
  - 5) distinguishing terms added to names of persons, if they are abbreviated in the source from which they are taken (see 22.15B, 22.19B).
LC Policy Statements

- “Because RDA stresses cataloger’s judgment in deciding what information should be supplied for the user and because the content of some of the existing *Library of Congress Rule Interpretations* (LCRIs) was incorporated into RDA, only one fourth of the LCRIs for policy decisions other than those categories listed above (core elements etc.) were retained and revised for RDA.”

Questions?