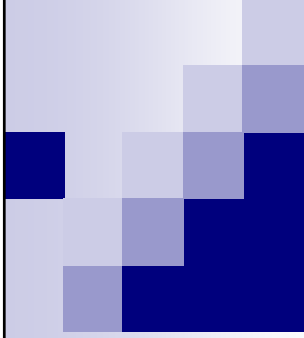




Transition to RDA: an ALCTS Webinar



Introduction to RDA

Presented by Robert Ellett, Ph.D.
Lecturer, San Jose State University
School of Library and Information Science
Wednesday, September 22, 2010



RDA Webinar Series

- October 13 -- RDA for Administrators: Managing the Transition in Your Library (Christopher Cronin)
- November 3 -- RDA: Benefits for Users and Catalogers (Christine Oliver)
- December 1 -- Don't Tilt at Windmills, an Active Learning Approach to Teaching FRBR (James Ascher and Peter J. Rolla)
- December 15 -- FRBR as a Foundation for RDA (Robert Maxwell)

ALCTS Events



Check out the ALCTS website for upcoming
Webinars, E-Forums, and other events

<http://www.ala.org/alcts>

Thank you for attending!

Transition to Resource Description and Access (RDA)



FIRST STEPS



Transition to RDA: an ALCTS Webinar



Objectives

- Understand the basic organization of RDA
- Understand the difference in RDA and the RDA Toolkit
- Understand the requirements of an RDA record
- Understand basic differences between an AACR2 and RDA record –What has changed?

RESOURCE DESCRIPTION AND ACCESS (RDA)

RECORDING ATTRIBUTES

Introduction

Section 1. Chapters 1-4

Recording attributes of manifestation and item

Section 2. Chapters 5-7

Recording attributes of work and expression

Section 3. Chapters 8-11

Recording attributes of person, family, and corporate body

Section 4. Chapters 12-16

Recording attributes of concept, object, event, and place

RECORDING RELATIONSHIPS

Section 5. Chapter 17

Recording primary relationships between work, expression, manifestation, and item

Section 6. Chapters 18-22

Recording relationships to persons, families, and corporate bodies

Section 7. Chapters 23

Recording relationships to concepts, objects, events, and places associated with a work

Section 8. Chapters 24-28

Recording relationships between works, expressions, manifestations, and items

Section 9. Chapters 29-32

Recording relationships between persons, families, and corporate bodies

Section 10. Chapters 33-37

Recording relationships between concepts, objects, events, and places

Appendices A-M

Glossary

ANGLO-AMERICAN CATALOGUING RULES, 2ND ED., REV.

PART I. DESCRIPTION

Introduction

Chapter 1.

General Rules

Chapters 2-12

Special rules applicable to particular types of information resources (i.e., maps, manuscripts, music, etc.)

Chapter 13

Analytical descriptions

PART II. HEADINGS, UNIFORM TITLES AND REFERENCES

Chapter 20

Introduction

Chapter 21

Choice of Access points [main and added]

Chapter 22

Headings for persons

Chapter 23

Geographic names

Chapter 24

Headings for Corporate Bodies

Chapter 25

Uniform Titles

Chapter 26

References

Appendices A-E

Index

RDA vs. RDA Toolkit



- RDA is the content of the new cataloging guidelines.
- RDA Toolkit is a current electronic product available from ALA Publishing.

<http://www.rdatoolkit.org/>

Types of Description (RDA 1.5.1)



- Comprehensive Description
- Analytical Description
- Hierarchical Description

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RDA Core Elements

- Title proper (245 \$a) (RDA 2.3.2)
- Statement of responsibility relating to title proper (first) (245 \$c) (RDA 2.4.1)
- Edition Statement (250 \$a) (RDA 2.5)
- Serial numbering (362 \$a) (RDA 2.6)
- Publication Data (260 \$a-\$c) (RDA 2.8)
- Extent of resource (300 \$a) (RDA 3.4)
- Carrier type (338 \$a, \$2) (RDA 3.3)



RDA Toolkit - Windows Internet Explorer

http://beta.rdatoolkit.gvpi.net/

File Edit View Favorites Tools Help

Windows Live Bing What's New Profile Mail Photos Calendar MSN Share

Gmail - Inbox (2) - elle... RDA Toolkit

RDA

RESOURCE DESCRIPTION & ACCESS

RDA **TOOLS** **RESOURCES**

Status for Resource Description and Access

- + 0.3 Conceptual Models Underlying RDA
- + 0.4 Objectives and Principles Governing Resource Description and Access
- 0.5 Structure
- + 0.6 Core Elements
- 0.7 Access Points
- 0.8 Alternatives and Options
- 0.9 Exceptions
- 0.10 Examples
- + 0.11 Internationalization
- 0.12 Encoding RDA Data
- Recording Attributes of ...
 - Section 1: Manifestations & Item
 - + 1: General Guidelines on Recording Attributes of Manifestations and Items
 - + 2: Identifying Manifestations and Items
 - + 3: Describing Carriers

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2.3 Title

CORE ELEMENT

The title proper is a core element. Other titles are optional.

2.3.1 Basic Instructions on Recording Titles

2.3.1.1 Scope

A **title** is a word, character, or group of words and/or characters.

More than one title may appear in the resource itself (e.g., on a title page, cover, spine; on a title bar), on a jacket, sleeve, container, etc., or in a separate work.

A resource may also have one or more titles associated with it through registration agency (e.g., a key title), or by an agency preparing a description of the title).

For purposes of resource description, titles are categorized as follows:

- title proper (see 2.3.2 RDA)
- parallel title proper (see 2.3.3 RDA)
- other title information (see 2.3.4 RDA)
- parallel other title information (see 2.3.5 RDA)
- variant title (see 2.3.6 RDA)
- earlier title proper (see 2.3.7 RDA)

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3.3.1.3 Recording Carrier Type



Audio carriers

- audio cartridge
- audio cylinder
- audio disc
- audio roll
- audiocassette
- audiotape reel
- sound-track reel

Computer carriers

- computer card
- computer chip cartridge
- computer disc
- computer disc cartridge
- computer tape cartridge
- computer tape cassette
- computer tape reel
- online resource

Microform carriers

- aperture card
- microfiche
- microfiche cassette
- microfilm cartridge
- microfilm cassette
- microfilm reel
- microfilm roll
- microfilm slip
- microopaque

Projected image carriers

- film cartridge
- film cassette
- film reel
- film roll
- filmslip
- filmstrip
- filmstrip cartridge
- overhead transparency
- slide

Unmediated carriers

- card
- flipchart
- object
- roll
- sheet
- volume

Video carriers

- video cartridge
- videocassette
- videodisc
- videotape reel

Slide from Adam Schiff

Core Elements in Resource Description



- Series (490 \$a) (2.12)
- Identifiers for the Manifestation (RDA 2.15)
 - ISBN, ISSN, and other standard numbers based on internationally recognized schemes
 - Numbers assigned by publishers, distributors, government agencies based on internal schemes
 - “Fingerprints” (i.e., identifiers used in antiquarian books)
 - Not URLs (RDA 4.6)

“Core if” Elements



- RDA 2.8.6
- Date of publication– **if no publication date can be supplied**, give “[date of publication not identified]”
- Then date of distribution (if available) become core such as:
- 260 \$a Washington, D.C. : \$b American Library Association, \$c [date of publication not identified], 2010.
- **[On title page: Distributed in 2010]**

Data Transcription

- General Guidelines on Transcription
- RDA 1.7.1. Transcribe elements as they appear on the source. ICP Principle: Take what you see.
- Alternatives
 - Use agency in-house guidelines or style manual, etc., (e.g., The Chicago Manual of Style)
 - Accept data from scanned or downloaded source on the resource.

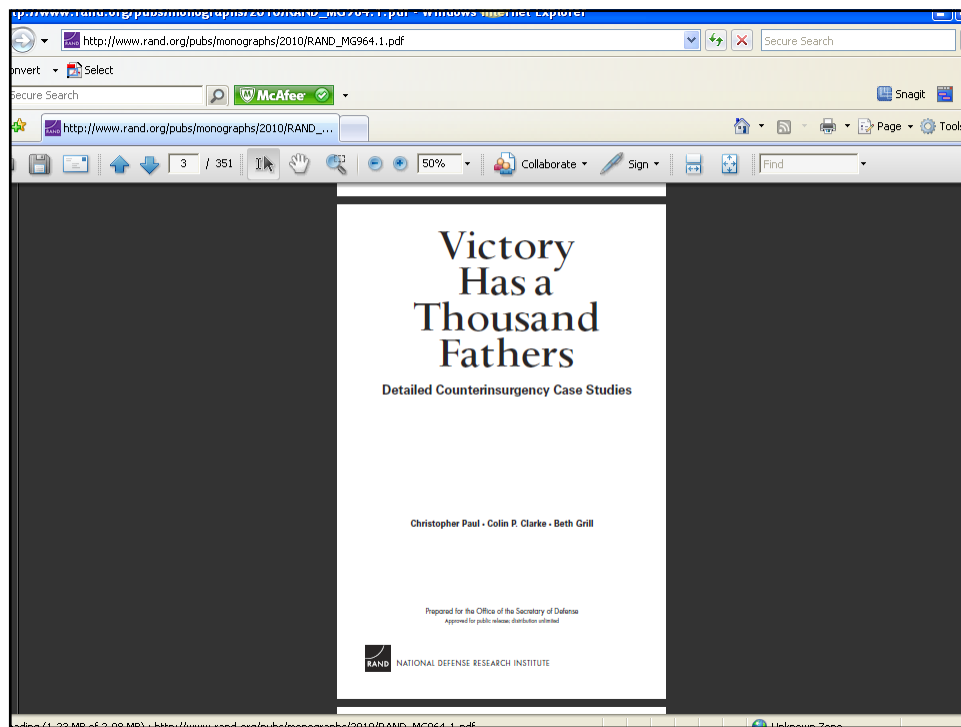
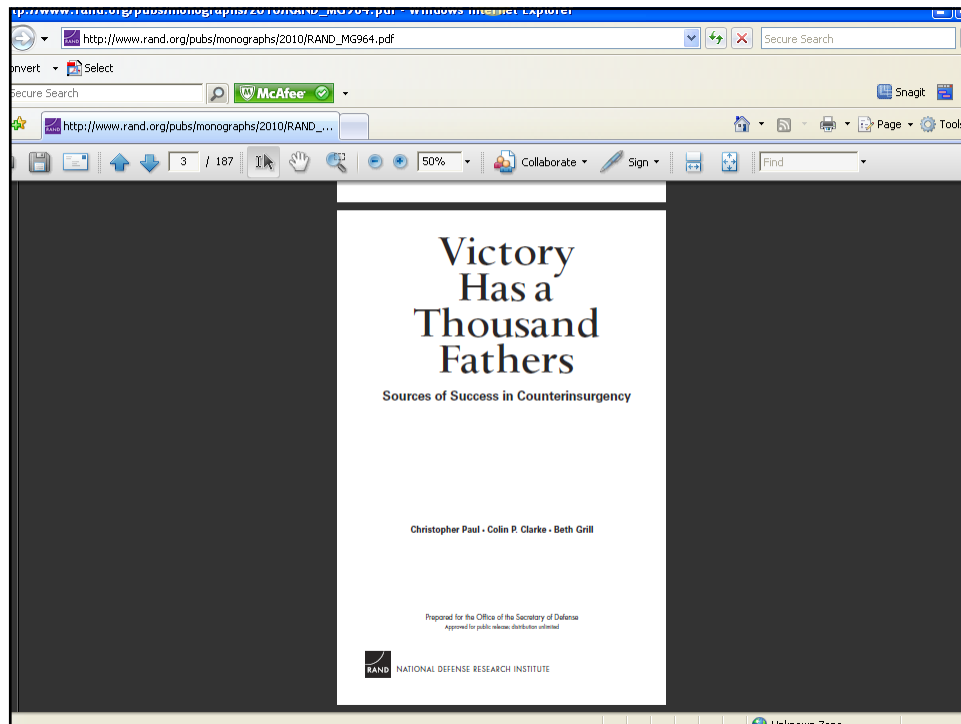


So what is missing?

- Other title information (245 \$b)
- Dimensions (300 \$c)
- Bibliographic notes (5xx)



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Terminology Changes



AACR2

- area
- heading
- uniform title

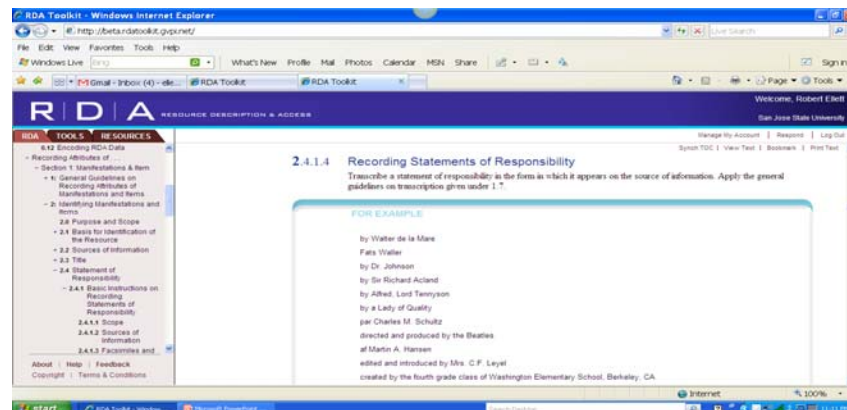
- main entry

- author, composer, etc.
- physical description

RDA

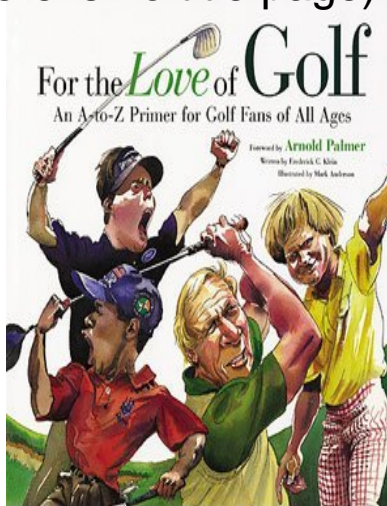
- element
- authorized access point
- conventional collective title for a work
- preferred title + authorized access point for creator if appropriate
- creator
- carrier description

Statements of Responsibility



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What's the RDA core statement of responsibility?
(assume there is no title page)



(cover)

Zoom in



Foreword by Arnold Palmer
Written by Frederick C. Klein
Illustrated by Mark Anderson

RDA Core Comparison



Core

245 For the love of golf / \$c written by
Frederick C. Klein.



Beyond Core (LC Core Plus)

245 For the love of golf / \$c foreword by
Arnold Palmer ; written by Frederick C.
Klein ; illustrated by Mark Anderson.

Examples from LC



<http://www.loc.gov/catdir/cps0/RDAtest/books.doc>

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AACR2:

100 1# \$a Auletta, Richard P.
245 10 \$a 201 Swedish verbs : \$b fully
conjugated in all the tenses :
alphabetically arranged / \$c Richard P.
Auletta ; Leif Sjöberg, editor.
250 ## \$a Paper ed.
260 ## \$a Woodbury, N.Y. : \$b Barron's
Educational Series, \$c c1975.
300 ## \$a lx, 248 p. ; \$c 18 cm.
504 ## \$a Includes bibliographical
references (p. 239-248).

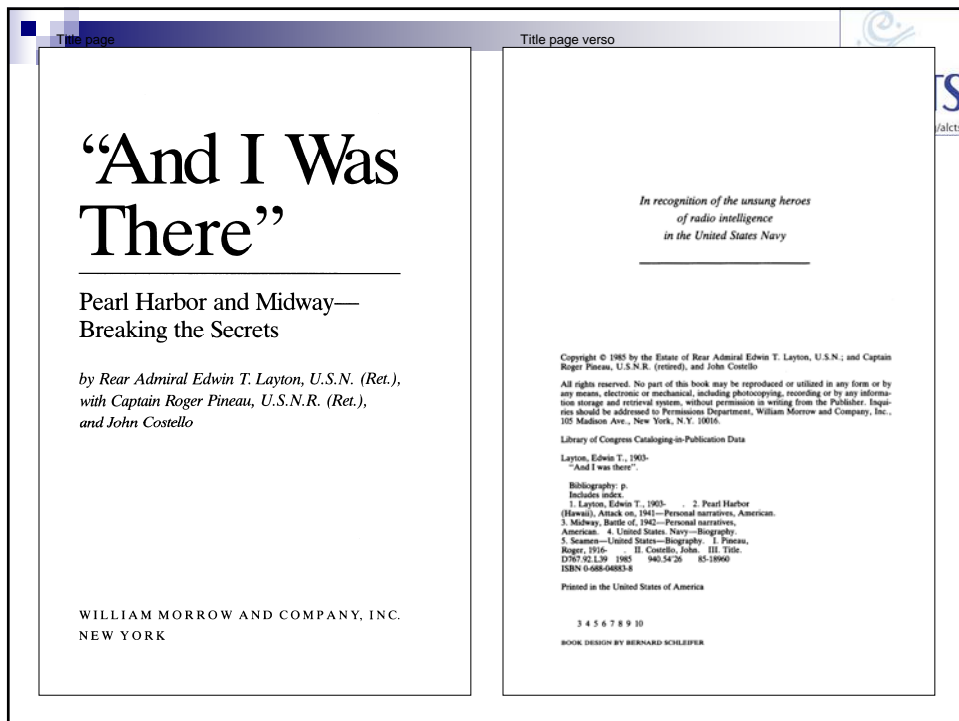
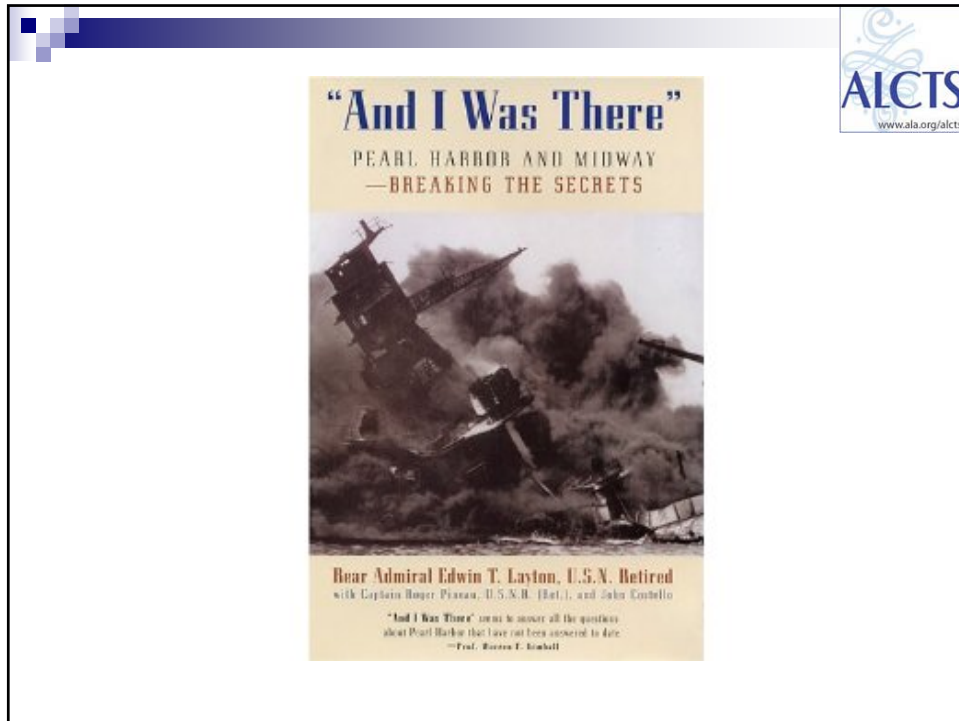


RDA (LC core elements only + alternative to use found capitalization):

100 1# \$a Auletta, Richard P.
245 10 \$a 201 SWEDISH VERBS : \$b FULLY
CONJUGATED IN ALL THE TENSES : Alphabetically
arranged / \$c Richard P. Auletta, Assistant Professor
of Foreign Languages and Linguistics, Director,
Program in Critical Languages, C.W. Post College,
Long Island University, Greenvale, New York 11548.
250 ## \$a Paper Edition.
260 ## \$a Woodbury, N.Y. : \$b BARRON'S
EDUCATIONAL SERIES, \$c [1975?], ©1975.
300 ## \$a lx, 248 pages ; \$c 18 cm
336 ## \$a text \$2 marccontent (rdacontent)
337 ## \$a unmediated \$2 marcmedia (rdamedia)
338 ## \$a volume \$2 marccarrier (rdacarrier)
504 ## \$a Includes bibliographical references (pages
239-248).



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Titles/Abbreviations in Statements of Responsibility



AACR2 1.1F7

Include titles and abbreviations of titles of nobility, address, honour, distinction
... Otherwise omit them.
245 / #c by Edwin T. Layton, with Roger Pineau and John Costello.

RDA 2.4.1.4

Transcribe statement of responsibility in form in which it appears.
245 / #c by Rear Admiral Edwin T. Layton, U.S.N. (Ret.), with Captain John Pineau, U.S.N.R. (Ret.), and John Costello.

Statements of Responsibility Outside of Chief Source



AACR2 1.1A2

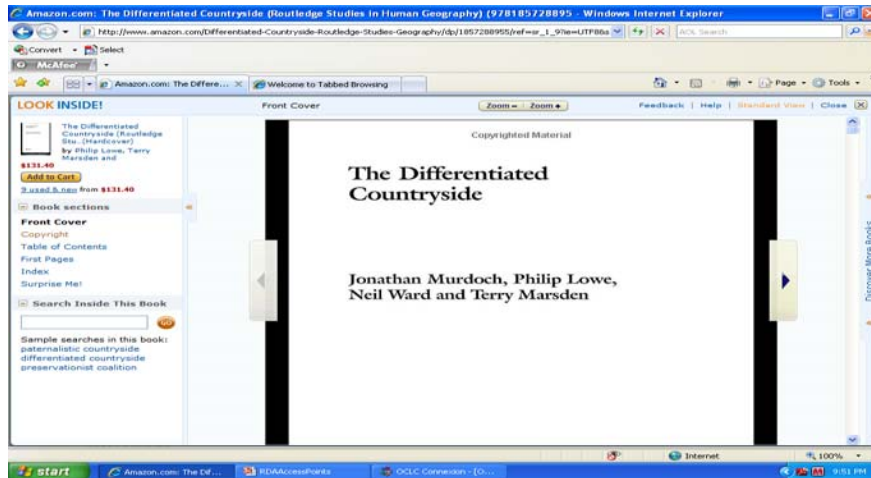
245 / #c [edited and published by the Collection Institute; edited by Elena Marzulla].
Statement of responsibility taken from title page verso.

RDA 2.4.2.2

245 / #c edited and published by the Collection Institute; edited by Elena Marzulla.
Only statements taken from outside the resource are enclosed in square brackets.

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Access Points



More than 3 (same function) in 245 ÷c



AACR2 1.1F5

245 / ÷c Jonathan
Murdoch ... [et al.].

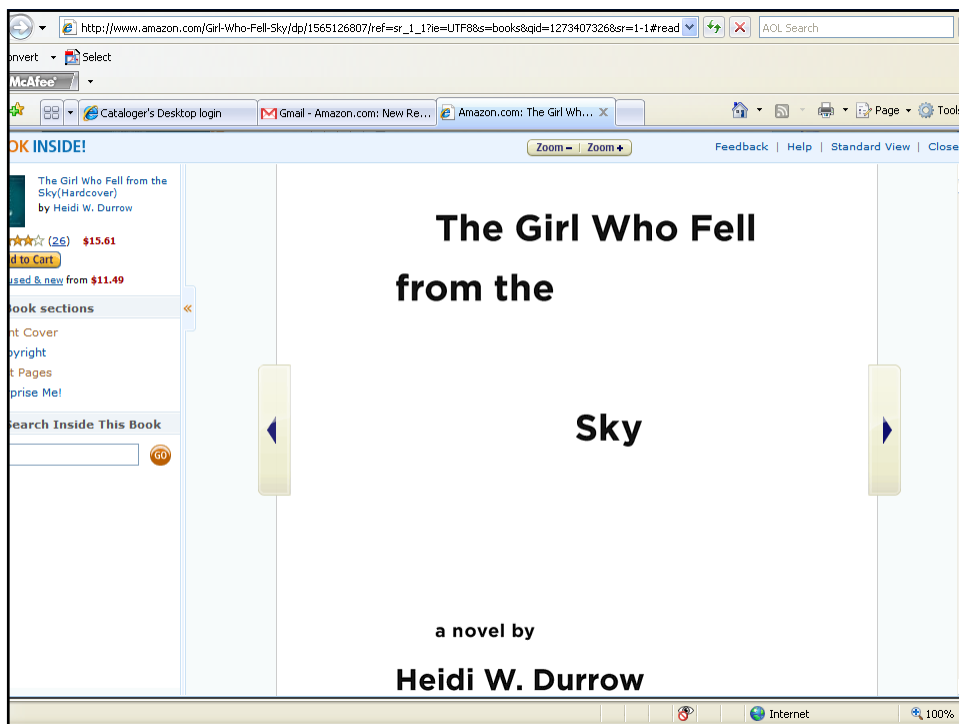
RDA 2.4.1.5

245 / ÷c Jonathan
Murdoch, Philip Lowe,
Neil Ward, Terry
Mardsden.

Optional Omission

245 / ÷c Jonathan
Murdoch [and three
others].

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Noun Phrases in Statement of Responsibility



AACR 1.1F12

245 14 \$a The girl who
fell from the sky : \$b a
novel / \$c by Heidi W.
Durrow.

RDA 2.4.1.8

245 14 \$a The girl who
fell from the sky / \$c a
novel by Heidi W.
Durrow.

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Access Points

AACR2 21.7B1,
21.30D

RDA 6.27.1.4,
20.2.1.3

Title Main Entry

Preferred Access Point

100 1 Murdoch, Jonathan
≠e author.

Added Entry

700 1 Murdoch,
Jonathan.

700 1 Lowe, Philip ≠e
author.

700 1 Ward, Neil ≠e
author.

700 1 Marsden, Terry ≠e
author.



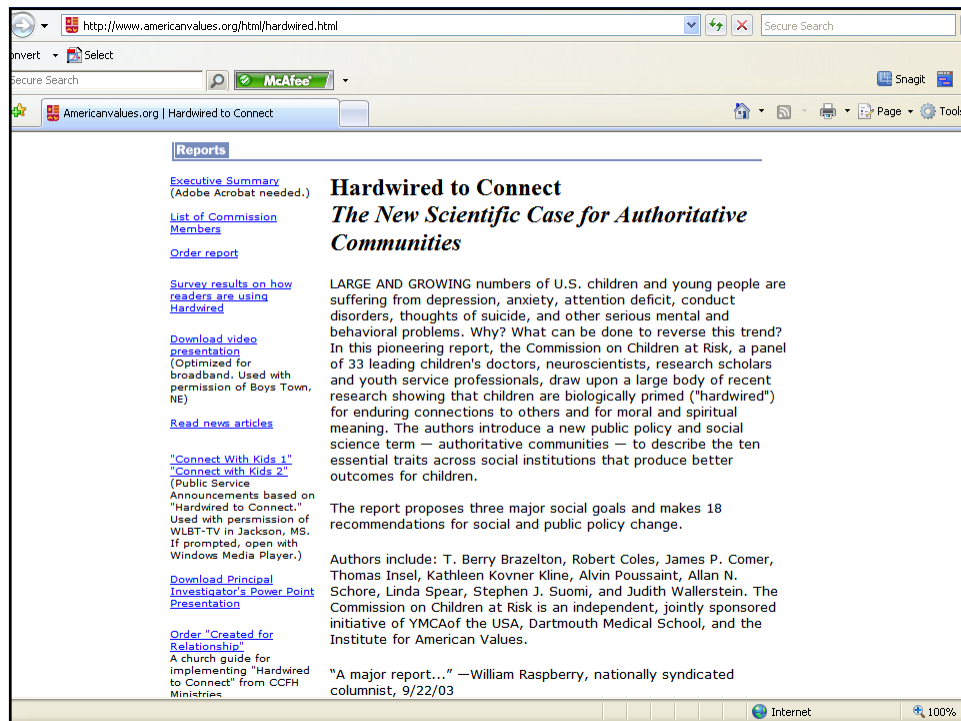
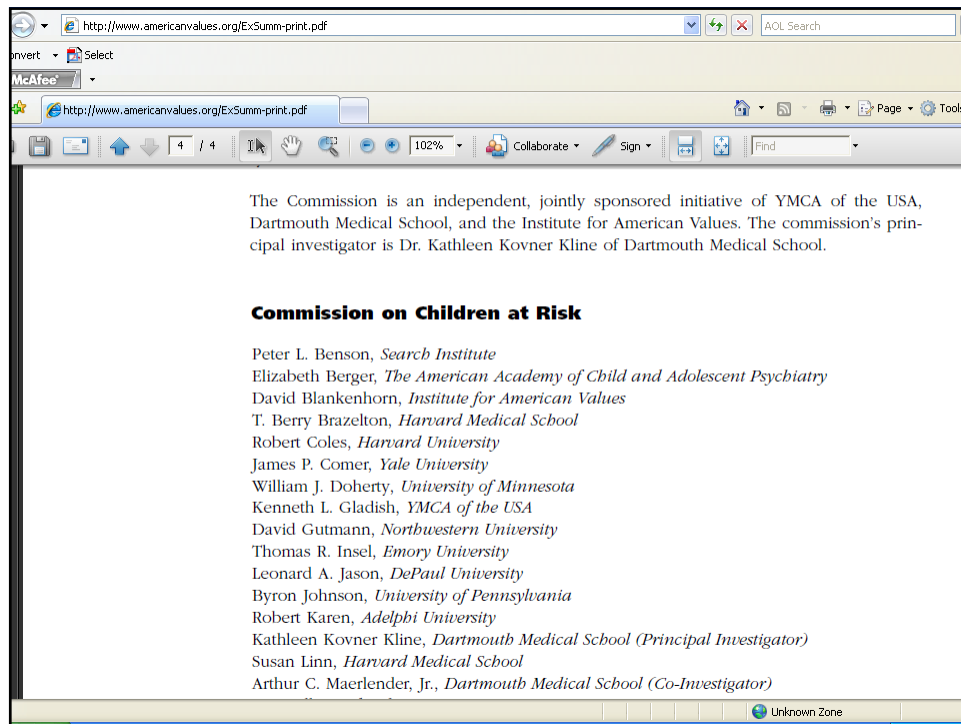
CREATOR

■ 19.2 CORE ELEMENT

- *If there is more than one creator responsible for the work, only the creator having principal responsibility named first in resources embodying the work or in reference sources is required. If principal responsibility is not indicated, only the first-named creator is required.*



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CREATOR



- **19.2.1 Basic Instructions on Recording Creators**
- **19.2.1.1 Scope**
- A **creator** is a person, family, or corporate body responsible for the creation of a work.
- Creators include persons, families, or corporate bodies jointly responsible for the creation of a work. Persons, families, or corporate bodies jointly responsible for the creation of a work may perform the same role (e.g., as in a collaboration between two writers), or they may perform different roles (e.g., as in a collaboration between a composer and a lyricist).
- A person, family, or corporate body responsible for compiling an aggregate work may be considered to be a creator of the compilation if the selection, arrangement, editing, etc., of content for the compilation effectively results in the creation of a new work.
- A person, family, or corporate body responsible for modifying a previously existing work in a way that substantially changes the nature or content of the original is considered to be a creator of the new work.

BEFORE YOU LEAP


A FROG'S-EYE VIEW OF LIFE'S GREATEST LESSONS



BY KERMIT THE FROG



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Who's the Creator?

Kermit, the Frog

Authorized access point representing the creator for: *Before you leap: a frog's-eye view of life's greatest lessons* / by Kermit the Frog

MUPPETS ARE CREATORS TOO!!
Persons include fictitious entities (RDA 9.0)



ALCTS
www.ala.org/alcts

http://www.audible.com/adbl/site/entry/offers/partnerPromotions.jsp?BV_UseBVCookie=Yes&productID=BK_MACM_000233

McAfee

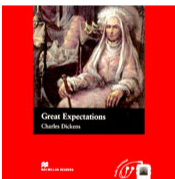
RDA Toolkit Cataloger's Desktop Download Great Expectat...

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Audible is the leading provider of downloadable audiobooks.

audible.com
An Amazon company

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|---------------------|----------------------|------------------------|
| PROGRAM TYPE | LENGTH | CUSTOMER RATING |
| Audiobook (Fiction) | 2 hrs and 33 mins | ★★★★★ |
| PUBLISHER | AUDIBLE RELEASE DATE | 4.0 based on 2 ratings |

Internet 100%



So who's the creator of this work?

Bell, Florence

Corporate Body Entry Under AACR2



21.1B2. General rule

Enter a work emanating from one or more corporate bodies under the heading for the appropriate corporate body (see [21.4B](#), [21.5B](#)) if it falls into one or more of the following categories:

- a) those of an administrative nature dealing with the corporate body itself or
 - its internal policies, procedures, finances, and/or operations or
 - its officers, staff, and/or membership (e.g., directories) or
 - its resources (e.g., catalogues, inventories)
- b) some legal, governmental, and religious works of the following types:
 - laws (see [21.31](#))
 - decrees of the chief executive that have the force of law (see [21.31](#))
 - administrative regulations (see [21.32](#))
 - constitutions (see [21.33](#))
 - court rules (see [21.34](#))
 - treaties, etc. (see [21.35](#))
 - court decisions (see [21.36](#))
 - legislative hearings
 - religious laws (e.g., canon law)
 - liturgical works (see [21.39](#))

Corporate Body Entry Under AACR2



- c) those that record the collective thought of the body (e.g., reports of commissions, committees, etc.; official statements of position on external policies)
 - d) those that report the collective activity of a [conference](#) (e.g., proceedings, collected papers), of an expedition (e.g., results of exploration, investigation), or of an event (e.g., an exhibition, fair, festival) falling within the definition of a [corporate body](#) (see [21.1B1](#)), provided that the conference, expedition, or event is named in the [item](#) being catalogued
 - e) those that result from the collective activity of a performing group as a whole where the responsibility of the group goes beyond that of mere performance, execution, etc. Publications resulting from such activity include [sound recordings](#), films, [videorecordings](#), and written records of performances. (For [corporate bodies](#) that function solely as performers on sound recordings, see [21.23](#).)
 - f) [cartographic materials](#) emanating from a [corporate body](#) other than a body that is merely responsible for their publication or distribution.
- In case of doubt about whether a work falls into one or more of these categories, treat it as if it does not.

Corporate Body Entry Under RDA

Corporate Bodies Considered to be Creators

Corporate bodies are considered to be creators when they are responsible for originating, issuing, or causing to be issued, works that fall into one or more of the following categories:

- a) works of an administrative nature dealing with any of the following aspects of the body itself:
 - i) its internal policies, procedures, finances, and/or operations or
 - ii) its officers, staff, and/or membership (e.g., directories) or
 - iii) its resources (e.g., catalogues, inventories)
- b) works that record the collective thought of the body (e.g., reports of commissions, committees; official statements of position on external policies, standards)



Corporate Body Entry Under RDA



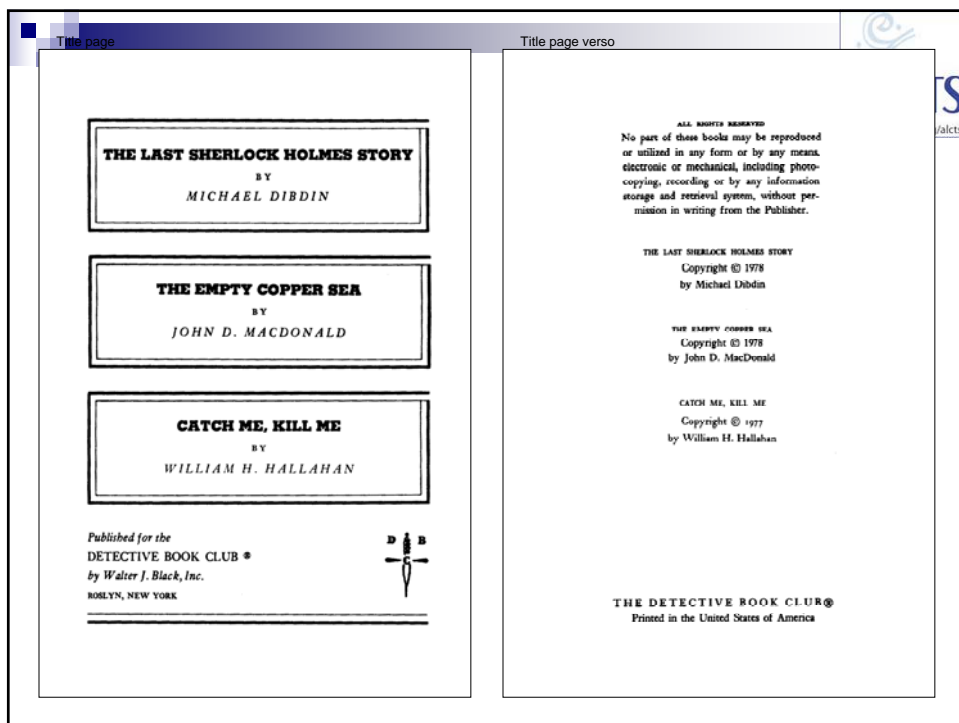
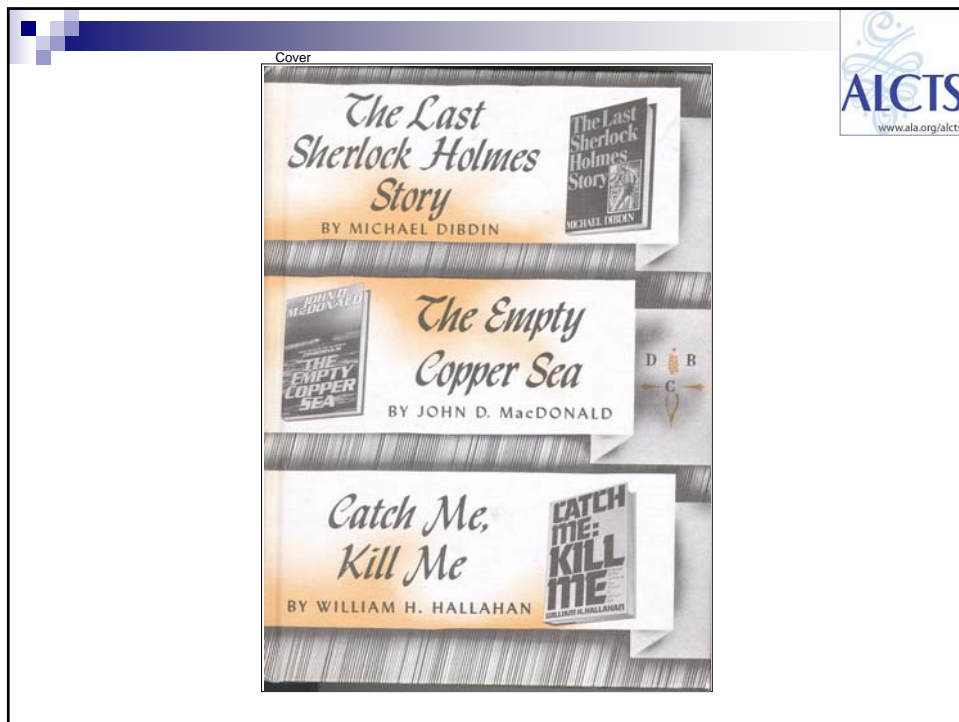
- c) works that report the collective activity of
 - i) a conference (e.g., proceedings, collected papers) or
 - ii) an expedition (e.g., results of exploration, investigation) or
 - iii) an event (e.g., an exhibition, fair, festival) falling within the definition of a corporate body (see [18.1.2](#))provided that the conference, expedition, or event is named in the resource being described
- d) works that result from the collective activity of a performing group as a whole where the responsibility of the group goes beyond that of mere performance, execution, etc.
- e) cartographic works originating with a corporate body other than a body that is merely responsible for their publication or distribution.
- f) legal works of the following types:
 - i) laws of a political jurisdiction
 - ii) decrees of a head of state, chief executive, or ruling executive body
 - iii) bills and drafts of legislation
 - iv) administrative regulations, etc.
 - v) constitutions, charters, etc.
 - vi) court rules
 - vii) treaties, international agreements, etc.
 - viii) charges to juries, indictments, court proceedings, and court decisions.



**DON'T THROW THE BABY OUT
WITH THE BATHWATER**



Transition to RDA: an ALCTS Webinar



■ Compilations Lacking Collective Title



AACR2 21.7C1

- 100 1_ Dibdin, Michael.
- 245 14 The last Sherlock Holmes story / \$c Michael Dibdin. The empty copper sea / by John D. MacDonald. Catch me, kill me / by William H. Hallahan.
- 700 1_ MacDonald, John D. \$t Empty copper sea.
- 700 1_ Hallahan, William H. \$t Catch me, kill me.

■ AACR2 21.7C1



If a work falling into one of the categories given in 21.7A1 lacks a collective title, enter it under the heading appropriate to the first work named in the chief source of information of the item being catalogued. If the item lacks a collective chief source of information, enter it under the heading appropriate to the first work in the item. Make added entries for editors/compilers and for the other works as instructed in 21.7B1, insofar as it applies to works without a collective title.

RDA 6.27.1.4



If the compilation lacks a collective title,
construct separate access points for each
of the works in the compilation.

Compilations Lacking Collective Title



RDA 6.27.1.4

- 245 14 The last Sherlock Holmes story / \$c Michael Dibdin. The empty copper sea / by John D. MacDonald. Catch me, kill me / by William H. Hallahan.
- 700 12 Diddin. Michael. \$t Last Sherlock Holmes story.
- 700 12 MacDonald, John D. \$t Empty copper sea.
- 700 12 Hallahan, William H. \$t Catch me, kill me.



RDA 6.27.1.4 Alternative

- Instead of (or in addition to) constructing access points for each of the works in the compilation, construct an authorized access point representing the compilation using a devised title formulated according to the instructions given under 2.3.2.11.
- **For the U.S. RDA Test the decision on the alternative is: Yes, devise a title.**
- *Note:* Cataloger's judgment which convention to use (and whether to use more than one) to express relationships: could be only the 505 (description) or could be only 700s (authorized access points) or could be both.



Compilations Lacking Collective Title

RDA 6.27.1.4 Alternative

- 245 00 [Three detective and mystery stories].
- 500 Title devised by cataloger.
- 505 0_ The last Sherlock Holmes story / Michael Dibdin -- The empty copper sea / by John D. MacDonald -- Catch me, kill me / by William H. Hallahan.
- 700 12 Dibdin, Michael. \$t Last Sherlock Holmes story.
- 700 12 MacDonald, John D. \$t Empty copper sea.
- 700 12 Hallahan, William H. \$t Catch me, kill me.

Variant Titles (AACR2)



- **AACR2 21 Choice of Access Points**
- **21.30J2 Variant title. If considered necessary for access**, make an added entry for any version of the title (e.g., cover title ...) that is significantly different from the title proper.

Variant Titles (RDA)



- **RDA 2.3 Title**
- **2.3.6.3 Recording Variant Titles**
- Record variant titles that are considered to be important for identification or access applying the basic instructions on recording titles given under **2.3.1**

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Personal Name Headings



AACR2 22.15C, 22.19B

Do not add other titles or terms associated with names entered under surname unless they are required to distinguish between two or more persons with the same name and neither dates nor fuller forms of name are available (see 22.19B).

100 1_ Downey, Robert,
\$d 1965-

*Usage: Robert Downey,
Jr.*

RDA 9.2.2.9.5

Treat Filho, Junior, Neto, Netto, or Sobrinho following a Portuguese surname as part of the surname.

Record similar terms (e.g., *Jr.*, *Sr.*, *filis*, *père*) and numbers (e.g., *III*) occurring in languages other than Portuguese following the person's forename or forenames, preceded by a comma.

100 1_ Downey, Robert, \$c
Jr., \$d 1965-

Personal Name Headings



AACR2 22.17A

Smith, John, 1900 Jan. 10- ->
Smith, John, 1836 or 7-1896 ->

Smith, John, ca. 1837-1896 ->

Smith, John, 1837-ca. 1896 ->

Smith, John, b. 1825 ->

Smith, John, d. 1859 ->

RDA 9.3.2.3, 9.19.1.3

Smith, John, 1900 *January* 10-

Smith, John, 1836 or *1837*-
1896


Smith, John, *approximately*
1837-1896

Smith, John, 1837-
approximately 1896

Smith, John, *1825*-

Smith, John, *-1859*


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www.ala.org/alcts

Personal Name Headings

| AACR2 22.19, LCRI 22.19 | RDA 9.19.1.1., 9.19.1.2, 9.19.1.6 |
|---------------------------|---|
| Brown, George, Captain -> | Brown, George (Naval officer) or Brown, George [undifferentiated] |
| Brown, George, Rev. -> | Brown, George (Clergyman) |
| Brown, George, Ph. D. -> | Brown, George (Profession or Occupation) or Brown, George (Field of activity) or Brown, George [undifferentiated] |
| Brown, George, flutist -> | Brown, George (Flutist) |



www.ala.org/alcts

Parts of the Bible

| AACR2 25.18A | RDA 6.23.2.9, 6.30.2.2 |
|-----------------------------------|-------------------------------|
| Bible. O.T. | Bible. Old Testament |
| Bible. N.T. | Bible. New Testament |
| Bible. O.T. Ezra | Bible. Ezra |
| Bible. N.T. Revelation | Bible. Revelation |
| Bible. N.T. Corinthians, 2nd | Bible. Corinthians, 2nd |
| Bible. O.T. Genesis XI, 26-XX, 18 | Bible. Genesis, XI, 26-XX, 18 |
| Bible. O.T. Pentateuch | Bible. Pentateuch |
| Bible. N.T. Gospels | Bible. Gospels |

In RDA, individual books and groups of books of the Bible are recorded as a subdivision of Bible, rather than as a subdivision of O.T. or N.T.

Transition to RDA: an ALCTS Webinar

Abbreviations



AACR2

RDA

United States. \$b
Dept. of Defense.
(adopted practice)

United States. \$b
Department of Defense.

Book Marketing Ltd.

Book Marketing Limited.

NetLibrary, Inc.

NetLibrary, Incorporated.

AACR2 Change Never Implemented



AACR2 Appendix B.2

HEADINGS

- **B.2A.** Use only the following categories of abbreviations in headings:
- 1) those that are integral parts of the heading (e.g., *St.* (Saint)), if the person or corporate body uses the abbreviation
- 2) designations of function (e.g., *comp.*) (see [21.0D](#))
- 3) certain names of larger places added to the name of another place (see [23.4](#)) or to the name of a corporate body (see [24.4C2](#))
- 4) certain terms used with dates (e.g., *b., fl.*) (see [22.17](#))
- 5) distinguishing terms added to names of persons, if they are abbreviated in the source from which they are taken (see [22.15B](#) , [22.19B](#)).

LC Policy Statements

- http://www.loc.gov/catdir/cpsd/RDAtest/rda_lcps.html (Added June 1, 2010)
- “Because RDA stresses cataloger's judgment in deciding what information should be supplied for the user and because the content of some of the existing *Library of Congress Rule Interpretations* (LCRIs) was incorporated into RDA, only one fourth of the LCRIs for policy decisions other than those categories listed above (core elements etc.) were retained and revised for RDA.”



Questions?

