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MIMESIS

THE REPRESENTATION OF REALITY
IN WESTERN LITERATURE

BY ERICH AUERBACH

TRANSLATED FROM THE GERMAN

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1

ODYSSEUS' SCAR

READERS of the *Odyssey* will remember the well-prepared and touching scene in book 19, when Odysseus has at last come home, the scene in which the old housekeeper Euryclea, who had been his nurse, recognizes him by a scar on his thigh. The stranger has won Penelope's good will; at his request she tells the housekeeper to wash his feet, which, in all old stories, is the first duty of hospitality toward a tired traveler. Euryclea busies herself fetching water and mixing cold with hot, meanwhile speaking sadly of her absent master, who is probably of the same age as the guest, and who perhaps, like the guest, is even now wandering somewhere, a stranger; and she remarks how astonishingly like him the guest looks. Meanwhile Odysseus, remembering his scar, moves back out of the light; he knows that, despite his efforts to hide his identity, Euryclea will now recognize him, but he wants at least to keep Penelope in ignorance. No sooner has the old woman touched the scar than, in her joyous surprise, she lets Odysseus' foot drop into the basin; the water spills over, she is about to cry out her joy; Odysseus restrains her with whispered threats and endearments; she recovers herself and conceals her emotion. Penelope, whose attention Athena's foresight had diverted from the incident, has observed nothing.

All this is scrupulously externalized and narrated in leisurely fashion. The two women express their feelings in copious direct discourse. Feelings though they are, with only a slight admixture of the most general considerations upon human destiny, the syntactical connection between part and part is perfectly clear, no contour is blurred. There is also room and time for orderly, perfectly well-articulated, uniformly illuminated descriptions of implements, ministrations, and gestures; even in the dramatic moment of recognition, Homer does not omit to tell the reader that it is with his right hand that Odysseus takes the old woman by the throat to keep her from speaking, at the same time that he draws her closer to him with his left. Clearly outlined, brightly and uniformly illuminated, men and things stand out in a realm where everything is visible; and not less clear—wholly expressed, orderly even in their ardor—are the feelings and thoughts of the persons involved.

In my account of the incident I have so far passed over a whole series of verses which interrupt it in the middle. There are more than seventy of these verses—while to the incident itself some forty are devoted before the interruption and some forty after it. The interruption, which comes just at the point when the housekeeper recognizes the scar—that is, at the moment of crisis—describes the origin of the scar, a hunting accident which occurred in Odysseus' boyhood, at a boar hunt, during the time of his visit to his grandfather Autolycus. This first affords an opportunity to inform the reader about Autolycus, his house, the precise degree of the kinship, his character, and, no less exhaustively than touchingly, his behavior after the birth of his grandson; then follows the visit of Odysseus, now grown to be a youth; the exchange of greetings, the banquet with which he is welcomed, sleep and waking, the early start for the hunt, the tracking of the beast, the struggle, Odysseus' being wounded by the boar's tusk, his recovery, his return to Ithaca, his parents' anxious questions—all is narrated, again with such a complete externalization of all the elements of the story and of their interconnections as to leave nothing in obscurity. Not until then does the narrator return to Penelope's chamber, not until then, the digression having run its course, does Euryclea, who had recognized the scar before the digression began, let Odysseus' foot fall back into the basin.

The first thought of a modern reader—that this is a device to increase suspense—is, if not wholly wrong, at least not the essential explanation of this Homeric procedure. For the element of suspense is very slight in the Homeric poems; nothing in their entire style is calculated to keep the reader or hearer breathless. The digressions are not meant to keep the reader in suspense, but rather to relax the tension. And this frequently occurs, as in the passage before us. The broadly narrated, charming, and subtly fashioned story of the hunt, with all its elegance and self-sufficiency, its wealth of idyllic pictures, seeks to win the reader over wholly to itself as long as he is hearing it, to make him forget what had just taken place during the foot-washing. But an episode that will increase suspense by retarding the action must be so constructed that it will not fill the present entirely, will not put the crisis, whose resolution is being awaited, entirely out of the reader's mind, and thereby destroy the mood of suspense; the crisis and the suspense must continue, must remain vibrant in the background. But Homer—and to this we shall have to return later—knows no background. What he narrates is for the time being the only present, and fills both

the stage and the reader's mind completely. So it is with the passage before us. When the young Euryclea (vv. 401ff.) sets the infant Odysseus on his grandfather Autolycus' lap after the banquet, the aged Euryclea, who a few lines earlier had touched the wanderer's foot, has entirely vanished from the stage and from the reader's mind.

Goethe and Schiller, who, though not referring to this particular episode, exchanged letters in April 1797 on the subject of "the retarding element" in the Homeric poems in general, put it in direct opposition to the element of suspense—the latter word is not used, but is clearly implied when the "retarding" procedure is opposed, as something proper to epic, to tragic procedure (letters of April 19, 21, and 22). The "retarding element," the "going back and forth" by means of episodes, seems to me, too, in the Homeric poems, to be opposed to any tensional and suspensive striving toward a goal, and doubtless Schiller is right in regard to Homer when he says that what he gives us is "simply the quiet existence and operation of things in accordance with their natures"; Homer's goal is "already present in every point of his progress." But both Schiller and Goethe raise Homer's procedure to the level of a law for epic poetry in general, and Schiller's words quoted above are meant to be universally binding upon the epic poet, in contradistinction from the tragic. Yet in both modern and ancient times, there are important epic works which are composed throughout with no "retarding element" in this sense but, on the contrary, with suspense throughout, and which perpetually "rob us of our emotional freedom"—which power Schiller will grant only to the tragic poet. And besides it seems to me undemonstrable and improbable that this procedure of Homeric poetry was directed by aesthetic considerations or even by an aesthetic feeling of the sort postulated by Goethe and Schiller. The effect, to be sure, is precisely that which they describe, and is, furthermore, the actual source of the conception of epic which they themselves hold, and with them all writers decisively influenced by classical antiquity. But the true cause of the impression of "retardation" appears to me to lie elsewhere—namely, in the need of the Homeric style to leave nothing which it mentions half in darkness and unexternalized.

The excursus upon the origin of Odysseus' scar is not basically different from the many passages in which a newly introduced character, or even a newly appearing object or implement, though it be in the thick of a battle, is described as to its nature and origin; or in which, upon the appearance of a god, we are told where he last was, what

he was doing there, and by what road he reached the scene; indeed, even the Homeric epithets seem to me in the final analysis to be traceable to the same need for an externalization of phenomena in terms perceptible to the senses. Here is the scar, which comes up in the course of the narrative; and Homer's feeling simply will not permit him to see it appear out of the darkness of an unilluminated past; it must be set in full light, and with it a portion of the hero's boyhood—just as, in the *Iliad*, when the first ship is already burning and the Myrmidons finally arm that they may hasten to help, there is still time not only for the wonderful simile of the wolf, not only for the order of the Myrmidon host, but also for a detailed account of the ancestry of several subordinate leaders (16, vv. 155ff.). To be sure, the aesthetic effect thus produced was soon noticed and thereafter consciously sought; but the more original cause must have lain in the basic impulse of the Homeric style: to represent phenomena in a fully externalized form, visible and palpable in all their parts, and completely fixed in their spatial and temporal relations. Nor do psychological processes receive any other treatment: here too nothing must remain hidden and unexpressed. With the utmost fullness, with an orderliness which even passion does not disturb, Homer's personages vent their inmost hearts in speech; what they do not say to others, they speak in their own minds, so that the reader is informed of it. Much that is terrible takes place in the Homeric poems, but it seldom takes place wordlessly: Polyphemus talks to Odysseus; Odysseus talks to the suitors when he begins to kill them; Hector and Achilles talk at length, before battle and after; and no speech is so filled with anger or scorn that the particles which express logical and grammatical connections are lacking or out of place. This last observation is true, of course, not only of speeches but of the presentation in general. The separate elements of a phenomenon are most clearly placed in relation to one another; a large number of conjunctions, adverbs, particles, and other syntactical tools, all clearly circumscribed and delicately differentiated in meaning, delimit persons, things, and portions of incidents in respect to one another, and at the same time bring them together in a continuous and ever flexible connection; like the separate phenomena themselves, their relationships—their temporal, local, causal, final, consecutive, comparative, concessive, antithetical, and conditional limitations—are brought to light in perfect fullness; so that a continuous rhythmic procession of phenomena passes by, and never is there a form

left fragmentary or half-illuminated, never a lacuna, never a gap, never a glimpse of unplumbed depths.

And this procession of phenomena takes place in the foreground—that is, in a local and temporal present which is absolute. One might think that the many interpolations, the frequent moving back and forth, would create a sort of perspective in time and place; but the Homeric style never gives any such impression. The way in which any impression of perspective is avoided can be clearly observed in the procedure for introducing episodes, a syntactical construction with which every reader of Homer is familiar; it is used in the passage we are considering, but can also be found in cases when the episodes are much shorter. To the word scar (v. 393) there is first attached a relative clause (“which once long ago a boar . . .”), which enlarges into a voluminous syntactical parenthesis; into this an independent sentence unexpectedly intrudes (v. 396: “A god himself gave him . . .”), which quietly disentangles itself from syntactical subordination, until, with verse 399, an equally free syntactical treatment of the new content begins a new present which continues unchallenged until, with verse 467 (“The old woman now touched it . . .”), the scene which had been broken off is resumed. To be sure, in the case of such long episodes as the one we are considering, a purely syntactical connection with the principal theme would hardly have been possible; but a connection with it through perspective would have been all the easier had the content been arranged with that end in view; if, that is, the entire story of the scar had been presented as a recollection which awakens in Odysseus' mind at this particular moment. It would have been perfectly easy to do; the story of the scar had only to be inserted two verses earlier, at the first mention of the word scar, where the motifs “Odysseus” and “recollection” were already at hand. But any such subjectivistic-perspectivistic procedure, creating a foreground and background, resulting in the present lying open to the depths of the past, is entirely foreign to the Homeric style; the Homeric style knows only a foreground, only a uniformly illuminated, uniformly objective present. And so the excursus does not begin until two lines later, when Euryclea has discovered the scar—the possibility for a perspectivistic connection no longer exists, and the story of the wound becomes an independent and exclusive present.

The genius of the Homeric style becomes even more apparent when it is compared with an equally ancient and equally epic style from a different world of forms. I shall attempt this comparison with the ac-

count of the sacrifice of Isaac, a homogeneous narrative produced by the so-called Elohist. The King James version translates the opening as follows (Genesis 22: 1): "And it came to pass after these things, that God did tempt Abraham, and said to him, Abraham! and he said, Behold, here I am." Even this opening startles us when we come to it from Homer. Where are the two speakers? We are not told. The reader, however, knows that they are not normally to be found together in one place on earth, that one of them, God, in order to speak to Abraham, must come from somewhere, must enter the earthly realm from some unknown heights or depths. Whence does he come, whence does he call to Abraham? We are not told. He does not come, like Zeus or Poseidon, from the Aethiopians, where he has been enjoying a sacrificial feast. Nor are we told anything of his reasons for tempting Abraham so terribly. He has not, like Zeus, discussed them in set speeches with other gods gathered in council; nor have the deliberations in his own heart been presented to us; unexpected and mysterious, he enters the scene from some unknown height or depth and calls: Abraham! It will at once be said that this is to be explained by the particular concept of God which the Jews held and which was wholly different from that of the Greeks. True enough—but this constitutes no objection. For how is the Jewish concept of God to be explained? Even their earlier God of the desert was not fixed in form and content, and was alone; his lack of form, his lack of local habitation, his singleness, was in the end not only maintained but developed even further in competition with the comparatively far more manifest gods of the surrounding Near Eastern world. The concept of God held by the Jews is less a cause than a symptom of their manner of comprehending and representing things.

This becomes still clearer if we now turn to the other person in the dialogue, to Abraham. Where is he? We do not know. He says, indeed: Here I am—but the Hebrew word means only something like "behold me," and in any case is not meant to indicate the actual place where Abraham is, but a moral position in respect to God, who has called to him—Here am I awaiting thy command. Where he is actually, whether in Beersheba or elsewhere, whether indoors or in the open air, is not stated; it does not interest the narrator, the reader is not informed; and what Abraham was doing when God called to him is left in the same obscurity. To realize the difference, consider Hermes' visit to Calypso, for example, where command, journey, arrival and reception of the visitor, situation and occupation of the person visited,

are set forth in many verses; and even on occasions when gods appear suddenly and briefly, whether to help one of their favorites or to deceive or destroy some mortal whom they hate, their bodily forms, and usually the manner of their coming and going, are given in detail. Here, however, God appears without bodily form (yet he "appears"), coming from some unspecified place—we only hear his voice, and that utters nothing but a name, a name without an adjective, without a descriptive epithet for the person spoken to, such as is the rule in every Homeric address; and of Abraham too nothing is made perceptible except the words in which he answers God: *Hinne-ni*, Behold me here—with which, to be sure, a most touching gesture expressive of obedience and readiness is suggested, but it is left to the reader to visualize it. Moreover the two speakers are not on the same level: if we conceive of Abraham in the foreground, where it might be possible to picture him as prostrate or kneeling or bowing with outspread arms or gazing upward, God is not there too: Abraham's words and gestures are directed toward the depths of the picture or upward, but in any case the undetermined, dark place from which the voice comes to him is not in the foreground.

After this opening, God gives his command, and the story itself begins: everyone knows it; it unrolls with no episodes in a few independent sentences whose syntactical connection is of the most rudimentary sort. In this atmosphere it is unthinkable that an implement, a landscape through which the travelers passed, the serving-men, or the ass, should be described, that their origin or descent or material or appearance or usefulness should be set forth in terms of praise; they do not even admit an adjective: they are serving-men, ass, wood, and knife, and nothing else, without an epithet; they are there to serve the end which God has commanded; what in other respects they were, are, or will be, remains in darkness. A journey is made, because God has designated the place where the sacrifice is to be performed; but we are told nothing about the journey except that it took three days, and even that we are told in a mysterious way: Abraham and his followers rose "early in the morning" and "went unto" the place of which God had told him; on the third day he lifted up his eyes and saw the place from afar. That gesture is the only gesture, is indeed the only occurrence during the whole journey, of which we are told; and though its motivation lies in the fact that the place is elevated, its uniqueness still heightens the impression that the journey took place through a vacuum; it is as if, while he traveled on, Abraham had

looked neither to the right nor to the left, had suppressed any sign of life in his followers and himself save only their footfalls.

Thus the journey is like a silent progress through the indeterminate and the contingent, a holding of the breath, a process which has no present, which is inserted, like a blank duration, between what has passed and what lies ahead, and which yet is measured: three days! Three such days positively demand the symbolic interpretation which they later received. They began "early in the morning." But at what time on the third day did Abraham lift up his eyes and see his goal? The text says nothing on the subject. Obviously not "late in the evening," for it seems that there was still time enough to climb the mountain and make the sacrifice. So "early in the morning" is given, not as an indication of time, but for the sake of its ethical significance; it is intended to express the resolution, the promptness, the punctual obedience of the sorely tried Abraham. Bitter to him is the early morning in which he saddles his ass, calls his serving-men and his son Isaac, and sets out; but he obeys, he walks on until the third day, then lifts up his eyes and sees the place. Whence he comes, we do not know, but the goal is clearly stated: Jeruel in the land of Moriah. What place this is meant to indicate is not clear—"Moriah" especially may be a later correction of some other word. But in any case the goal was given, and in any case it is a matter of some sacred spot which was to receive a particular consecration by being connected with Abraham's sacrifice. Just as little as "early in the morning" serves as a temporal indication does "Jeruel in the land of Moriah" serve as a geographical indication; and in both cases alike, the complementary indication is not given, for we know as little of the hour at which Abraham lifted up his eyes as we do of the place from which he set forth—Jeruel is significant not so much as the goal of an earthly journey, in its geographical relation to other places, as through its special election, through its relation to God, who designated it as the scene of the act, and therefore it must be named.

In the narrative itself, a third chief character appears: Isaac. While God and Abraham, the serving-men, the ass, and the implements are simply named, without mention of any qualities or any other sort of definition, Isaac once receives an appositive; God says, "Take Isaac, thine only son, whom thou lovest." But this is not a characterization of Isaac as a person, apart from his relation to his father and apart from the story; he may be handsome or ugly, intelligent or stupid, tall or short, pleasant or unpleasant—we are not told. Only what we need

to know about him as a personage in the action, here and now, is illuminated, so that it may become apparent how terrible Abraham's temptation is, and that God is fully aware of it. By this example of the contrary, we see the significance of the descriptive adjectives and digressions of the Homeric poems; with their indications of the earlier and as it were absolute existence of the persons described, they prevent the reader from concentrating exclusively on a present crisis; even when the most terrible things are occurring, they prevent the establishment of an overwhelming suspense. But here, in the story of Abraham's sacrifice, the overwhelming suspense is present; what Schiller makes the goal of the tragic poet—to rob us of our emotional freedom, to turn our intellectual and spiritual powers (Schiller says "our activity") in one direction, to concentrate them there—is effected in this Biblical narrative, which certainly deserves the epithet epic.

We find the same contrast if we compare the two uses of direct discourse. The personages speak in the Bible story too; but their speech does not serve, as does speech in Homer, to manifest, to externalize thoughts—on the contrary, it serves to indicate thoughts which remain unexpressed. God gives his command in direct discourse, but he leaves his motives and his purpose unexpressed; Abraham, receiving the command, says nothing and does what he has been told to do. The conversation between Abraham and Isaac on the way to the place of sacrifice is only an interruption of the heavy silence and makes it all the more burdensome. The two of them, Isaac carrying the wood and Abraham with fire and a knife, "went together." Hesitantly, Isaac ventures to ask about the ram, and Abraham gives the well-known answer. Then the text repeats: "So they went both of them together." Everything remains unexpressed.

It would be difficult, then, to imagine styles more contrasted than those of these two equally ancient and equally epic texts. On the one hand, externalized, uniformly illuminated phenomena, at a definite time and in a definite place, connected together without lacunae in a perpetual foreground; thoughts and feeling completely expressed; events taking place in leisurely fashion and with very little of suspense. On the other hand, the externalization of only so much of the phenomena as is necessary for the purpose of the narrative, all else left in obscurity; the decisive points of the narrative alone are emphasized, what lies between is nonexistent; time and place are undefined and call for interpretation; thoughts and feeling remain unexpressed, are only suggested by the silence and the fragmentary speeches; the whole,

permeated with the most unrelieved suspense and directed toward a single goal (and to that extent far more of a unity), remains mysterious and "fraught with background."

I will discuss this term in some detail, lest it be misunderstood. I said above that the Homeric style was "of the foreground" because, despite much going back and forth, it yet causes what is momentarily being narrated to give the impression that it is the only present, pure and without perspective. A consideration of the Elohistic text teaches us that our term is capable of a broader and deeper application. It shows that even the separate personages can be represented as possessing "background"; God is always so represented in the Bible, for he is not comprehensible in his presence, as is Zeus; it is always only "something" of him that appears, he always extends into depths. But even the human beings in the Biblical stories have greater depths of time, fate, and consciousness than do the human beings in Homer; although they are nearly always caught up in an event engaging all their faculties, they are not so entirely immersed in its present that they do not remain continually conscious of what has happened to them earlier and elsewhere; their thoughts and feelings have more layers, are more entangled. Abraham's actions are explained not only by what is happening to him at the moment, nor yet only by his character (as Achilles' actions by his courage and his pride, and Odysseus' by his versatility and foresightedness), but by his previous history; he remembers, he is constantly conscious of, what God has promised him and what God has already accomplished for him—his soul is torn between desperate rebellion and hopeful expectation; his silent obedience is multilayered, has background. Such a problematic psychological situation as this is impossible for any of the Homeric heroes, whose destiny is clearly defined and who wake every morning as if it were the first day of their lives: their emotions, though strong, are simple and find expression instantly.

How fraught with background, in comparison, are characters like Saul and David! How entangled and stratified are such human relations as those between David and Absalom, between David and Joab! Any such "background" quality of the psychological situation as that which the story of Absalom's death and its sequel (II Samuel 18 and 19, by the so-called Jahvist) rather suggests than expresses, is unthinkable in Homer. Here we are confronted not merely with the psychological processes of characters whose depth of background is veritably abysmal, but with a purely geographical background too. For David

is absent from the battlefield; but the influence of his will and his feelings continues to operate, they affect even Joab in his rebellion and disregard for the consequences of his actions; in the magnificent scene with the two messengers, both the physical and psychological background is fully manifest, though the latter is never expressed. With this, compare, for example, how Achilles, who sends Patroclus first to scout and then into battle, loses almost all "presentness" so long as he is not physically present. But the most important thing is the "multilayeredness" of the individual character; this is hardly to be met with in Homer, or at most in the form of a conscious hesitation between two possible courses of action; otherwise, in Homer, the complexity of the psychological life is shown only in the succession and alternation of emotions; whereas the Jewish writers are able to express the simultaneous existence of various layers of consciousness and the conflict between them.

The Homeric poems, then, though their intellectual, linguistic, and above all syntactical culture appears to be so much more highly developed, are yet comparatively simple in their picture of human beings; and no less so in their relation to the real life which they describe in general. Delight in physical existence is everything to them, and their highest aim is to make that delight perceptible to us. Between battles and passions, adventures and perils, they show us hunts, banquets, palaces and shepherds' cots, athletic contests and washing days—in order that we may see the heroes in their ordinary life, and seeing them so, may take pleasure in their manner of enjoying their savory present, a present which sends strong roots down into social usages, landscape, and daily life. And thus they bewitch us and ingratiate themselves to us until we live with them in the reality of their lives; so long as we are reading or hearing the poems, it does not matter whether we know that all this is only legend, "make-believe." The oft-repeated reproach that Homer is a liar takes nothing from his effectiveness, he does not need to base his story on historical reality, his reality is powerful enough in itself; it ensnares us, weaving its web around us, and that suffices him. And this "real" world into which we are lured, exists for itself, contains nothing but itself; the Homeric poems conceal nothing, they contain no teaching and no secret second meaning. Homer can be analyzed, as we have essayed to do here, but he cannot be interpreted. Later allegorizing trends have tried their arts of interpretation upon him, but to no avail. He resists any such treatment; the interpretations are forced and foreign, they do not crystallize into a unified

doctrine. The general considerations which occasionally occur (in our episode, for example, v. 360: that in misfortune men age quickly) reveal a calm acceptance of the basic facts of human existence, but with no compulsion to brood over them, still less any passionate impulse either to rebel against them or to embrace them in an ecstasy of submission.

It is all very different in the Biblical stories. Their aim is not to bewitch the senses, and if nevertheless they produce lively sensory effects, it is only because the moral, religious, and psychological phenomena which are their sole concern are made concrete in the sensible matter of life. But their religious intent involves an absolute claim to historical truth. The story of Abraham and Isaac is not better established than the story of Odysseus, Penelope, and Euryclea; both are legendary. But the Biblical narrator, the Elohist, had to believe in the objective truth of the story of Abraham's sacrifice—the existence of the sacred ordinances of life rested upon the truth of this and similar stories. He had to believe in it passionately; or else (as many rationalistic interpreters believed and perhaps still believe) he had to be a conscious liar—no harmless liar like Homer, who lied to give pleasure, but a political liar with a definite end in view, lying in the interest of a claim to absolute authority.

To me, the rationalistic interpretation seems psychologically absurd; but even if we take it into consideration, the relation of the Elohist to the truth of his story still remains a far more passionate and definite one than is Homer's relation. The Biblical narrator was obliged to write exactly what his belief in the truth of the tradition (or, from the rationalistic standpoint, his interest in the truth of it) demanded of him—in either case, his freedom in creative or representative imagination was severely limited; his activity was perforce reduced to composing an effective version of the pious tradition. What he produced, then, was not primarily oriented toward "realism" (if he succeeded in being realistic, it was merely a means, not an end); it was oriented toward truth. Woe to the man who did not believe it! One can perfectly well entertain historical doubts on the subject of the Trojan War or of Odysseus' wanderings, and still, when reading Homer, feel precisely the effects he sought to produce; but without believing in Abraham's sacrifice, it is impossible to put the narrative of it to the use for which it was written. Indeed, we must go even further. The Bible's claim to truth is not only far more urgent than Homer's, it is tyrannical—it excludes all other claims. The world of the Scripture stories is not satis-

fied with claiming to be a historically true reality—it insists that it is the only real world, is destined for autocracy. All other scenes, issues, and ordinances have no right to appear independently of it, and it is promised that all of them, the history of all mankind, will be given their due place within its frame, will be subordinated to it. The Scripture stories do not, like Homer's, court our favor, they do not flatter us that they may please us and enchant us—they seek to subject us, and if we refuse to be subjected we are rebels.

Let no one object that this goes too far, that not the stories, but the religious doctrine, raises the claim to absolute authority; because the stories are not, like Homer's, simply narrated "reality." Doctrine and promise are incarnate in them and inseparable from them; for that very reason they are fraught with "background" and mysterious, containing a second, concealed meaning. In the story of Isaac, it is not only God's intervention at the beginning and the end, but even the factual and psychological elements which come between, that are mysterious, merely touched upon, fraught with background; and therefore they require subtle investigation and interpretation, they demand them. Since so much in the story is dark and incomplete, and since the reader knows that God is a hidden God, his effort to interpret it constantly finds something new to feed upon. Doctrine and the search for enlightenment are inextricably connected with the physical side of the narrative—the latter being more than simple "reality"; indeed they are in constant danger of losing their own reality, as very soon happened when interpretation reached such proportions that the real vanished.

If the text of the Biblical narrative, then, is so greatly in need of interpretation on the basis of its own content, its claim to absolute authority forces it still further in the same direction. Far from seeking, like Homer, merely to make us forget our own reality for a few hours, it seeks to overcome our reality: we are to fit our own life into its world, feel ourselves to be elements in its structure of universal history. This becomes increasingly difficult the further our historical environment is removed from that of the Biblical books; and if these nevertheless maintain their claim to absolute authority, it is inevitable that they themselves be adapted through interpretative transformation. This was for a long time comparatively easy; as late as the European Middle Ages it was possible to represent Biblical events as ordinary phenomena of contemporary life, the methods of interpretation themselves forming the basis for such a treatment. But when, through too great a change in environment and through the awakening of a critical

consciousness, this becomes impossible, the Biblical claim to absolute authority is jeopardized; the method of interpretation is scorned and rejected, the Biblical stories become ancient legends, and the doctrine they had contained, now dis severed from them, becomes a disembodied image.

As a result of this claim to absolute authority, the method of interpretation spread to traditions other than the Jewish. The Homeric poems present a definite complex of events whose boundaries in space and time are clearly delimited; before it, beside it, and after it, other complexes of events, which do not depend upon it, can be conceived without conflict and without difficulty. The Old Testament, on the other hand, presents universal history: it begins with the beginning of time, with the creation of the world, and will end with the Last Days, the fulfilling of the Covenant, with which the world will come to an end. Everything else that happens in the world can only be conceived as an element in this sequence; into it everything that is known about the world, or at least everything that touches upon the history of the Jews, must be fitted as an ingredient of the divine plan; and as this too became possible only by interpreting the new material as it poured in, the need for interpretation reaches out beyond the original Jewish-Israelitish realm of reality—for example to Assyrian, Babylonian, Persian, and Roman history; interpretation in a determined direction becomes a general method of comprehending reality; the new and strange world which now comes into view and which, in the form in which it presents itself, proves to be wholly unutilizable within the Jewish religious frame, must be so interpreted that it can find a place there. But this process nearly always also reacts upon the frame, which requires enlarging and modifying. The most striking piece of interpretation of this sort occurred in the first century of the Christian era, in consequence of Paul's mission to the Gentiles: Paul and the Church Fathers reinterpreted the entire Jewish tradition as a succession of figures prognosticating the appearance of Christ, and assigned the Roman Empire its proper place in the divine plan of salvation. Thus while, on the one hand, the reality of the Old Testament presents itself as complete truth with a claim to sole authority, on the other hand that very claim forces it to a constant interpretative change in its own content; for millennia it undergoes an incessant and active development with the life of man in Europe.

The claim of the Old Testament stories to represent universal history, their insistent relation—a relation constantly redefined by con-

flicts—to a single and hidden God, who yet shows himself and who guides universal history by promise and exaction, gives these stories an entirely different perspective from any the Homeric poems can possess. As a composition, the Old Testament is incomparably less unified than the Homeric poems, it is more obviously pieced together—but the various components all belong to one concept of universal history and its interpretation. If certain elements survived which did not immediately fit in, interpretation took care of them; and so the reader is at every moment aware of the universal religio-historical perspective which gives the individual stories their general meaning and purpose. The greater the separateness and horizontal disconnection of the stories and groups of stories in relation to one another, compared with the *Iliad* and the *Odyssey*, the stronger is their general vertical connection, which holds them all together and which is entirely lacking in Homer. Each of the great figures of the Old Testament, from Adam to the prophets, embodies a moment of this vertical connection. God chose and formed these men to the end of embodying his essence and will—yet choice and formation do not coincide, for the latter proceeds gradually, historically, during the earthly life of him upon whom the choice has fallen. How the process is accomplished, what terrible trials such a formation inflicts, can be seen from our story of Abraham's sacrifice. Herein lies the reason why the great figures of the Old Testament are so much more fully developed, so much more fraught with their own biographical past, so much more distinct as individuals, than are the Homeric heroes. Achilles and Odysseus are splendidly described in many well-ordered words, epithets cling to them, their emotions are constantly displayed in their words and deeds—but they have no development, and their life-histories are clearly set forth once and for all. So little are the Homeric heroes presented as developing or having developed, that most of them—Nestor, Agamemnon, Achilles—appear to be of an age fixed from the very first. Even Odysseus, in whose case the long lapse of time and the many events which occurred offer so much opportunity for biographical development, shows almost nothing of it. Odysseus on his return is exactly the same as he was when he left Ithaca two decades earlier. But what a road, what a fate, lie between the Jacob who cheated his father out of his blessing and the old man whose favorite son has been torn to pieces by a wild beast!—between David the harp player, persecuted by his lord's jealousy, and the old king, surrounded by violent intrigues, whom Abishag the Shunnamite warmed in his bed, and he knew her

not! The old man, of whom we know how he has become what he is, is more of an individual than the young man; for it is only during the course of an eventful life that men are differentiated into full individuality; and it is this history of a personality which the Old Testament presents to us as the formation undergone by those whom God has chosen to be examples. Fraught with their development, sometimes even aged to the verge of dissolution, they show a distinct stamp of individuality entirely foreign to the Homeric heroes. Time can touch the latter only outwardly, and even that change is brought to our observation as little as possible; whereas the stern hand of God is ever upon the Old Testament figures; he has not only made them once and for all and chosen them, but he continues to work upon them, bends them and kneads them, and, without destroying them in essence, produces from them forms which their youth gave no grounds for anticipating. The objection that the biographical element of the Old Testament often springs from the combination of several legendary personages does not apply; for this combination is a part of the development of the text. And how much wider is the pendulum swing of their lives than that of the Homeric heroes! For they are bearers of the divine will, and yet they are fallible, subject to misfortune and humiliation—and in the midst of misfortune and in their humiliation their acts and words reveal the transcendent majesty of God. There is hardly one of them who does not, like Adam, undergo the deepest humiliation—and hardly one who is not deemed worthy of God's personal intervention and personal inspiration. Humiliation and elevation go far deeper and far higher than in Homer, and they belong basically together. The poor beggar Odysseus is only masquerading, but Adam is really cast down, Jacob really a refugee, Joseph really in the pit and then a slave to be bought and sold. But their greatness, rising out of humiliation, is almost superhuman and an image of God's greatness. The reader clearly feels how the extent of the pendulum's swing is connected with the intensity of the personal history—precisely the most extreme circumstances, in which we are immeasurably forsaken and in despair, or immeasurably joyous and exalted, give us, if we survive them, a personal stamp which is recognized as the product of a rich existence, a rich development. And very often, indeed generally, this element of development gives the Old Testament stories a historical character, even when the subject is purely legendary and traditional.

Homer remains within the legendary with all his material, whereas

the material of the Old Testament comes closer and closer to history as the narrative proceeds; in the stories of David the historical report predominates. Here too, much that is legendary still remains, as for example the story of David and Goliath; but much—and the most essential—consists in things which the narrators knew from their own experience or from firsthand testimony. Now the difference between legend and history is in most cases easily perceived by a reasonably experienced reader. It is a difficult matter, requiring careful historical and philological training, to distinguish the true from the synthetic or the biased in a historical presentation; but it is easy to separate the historical from the legendary in general. Their structure is different. Even where the legendary does not immediately betray itself by elements of the miraculous, by the repetition of well-known standard motives, typical patterns and themes, through neglect of clear details of time and place, and the like, it is generally quickly recognizable by its composition. It runs far too smoothly. All cross-currents, all friction, all that is casual, secondary to the main events and themes, everything unresolved, truncated, and uncertain, which confuses the clear progress of the action and the simple orientation of the actors, has disappeared. The historical event which we witness, or learn from the testimony of those who witnessed it, runs much more variously, contradictorily, and confusedly; not until it has produced results in a definite domain are we able, with their help, to classify it to a certain extent; and how often the order to which we think we have attained becomes doubtful again, how often we ask ourselves if the data before us have not led us to a far too simple classification of the original events! Legend arranges its material in a simple and straightforward way; it detaches it from its contemporary historical context, so that the latter will not confuse it; it knows only clearly outlined men who act from few and simple motives and the continuity of whose feelings and actions remains uninterrupted. In the legends of martyrs, for example, a stiff-necked and fanatical persecutor stands over against an equally stiff-necked and fanatical victim; and a situation so complicated—that is to say, so real and historical—as that in which the "persecutor" Pliny finds himself in his celebrated letter to Trajan on the subject of the Christians, is unfit for legend. And that is still a comparatively simple case. Let the reader think of the history which we are ourselves witnessing; anyone who, for example, evaluates the behavior of individual men and groups of men at the time of the rise of National Socialism in Germany, or the behavior of individual peo-

ODYSSEUS' SCAR

ples and states before and during the last war, will feel how difficult it is to represent historical themes in general, and how unfit they are for legend; the historical comprises a great number of contradictory motives in each individual, a hesitation and ambiguous groping on the part of groups; only seldom (as in the last war) does a more or less plain situation, comparatively simple to describe, arise, and even such a situation is subject to division below the surface, is indeed almost constantly in danger of losing its simplicity; and the motives of all the interested parties are so complex that the slogans of propaganda can be composed only through the crudest simplification—with the result that friend and foe alike can often employ the same ones. To write history is so difficult that most historians are forced to make concessions to the technique of legend.

It is clear that a large part of the life of David as given in the Bible contains history and not legend. In Absalom's rebellion, for example, or in the scenes from David's last days, the contradictions and crossing of motives both in individuals and in the general action have become so concrete that it is impossible to doubt the historicity of the information conveyed. Now the men who composed the historical parts are often the same who edited the older legends too; their peculiar religious concept of man in history, which we have attempted to describe above, in no way led them to a legendary simplification of events; and so it is only natural that, in the legendary passages of the Old Testament, historical structure is frequently discernible—of course, not in the sense that the traditions are examined as to their credibility according to the methods of scientific criticism; but simply to the extent that the tendency to a smoothing down and harmonizing of events, to a simplification of motives, to a static definition of characters which avoids conflict, vacillation, and development, such as are natural to legendary structure, does not predominate in the Old Testament world of legend. Abraham, Jacob, or even Moses produces a more concrete, direct, and historical impression than the figures of the Homeric world—not because they are better described in terms of sense (the contrary is the case) but because the confused, contradictory multiplicity of events, the psychological and factual cross-purposes, which true history reveals, have not disappeared in the representation but still remain clearly perceptible. In the stories of David, the legendary, which only later scientific criticism makes recognizable as such, imperceptibly passes into the historical; and even in the legendary, the problem of the classification and interpretation of human history is already pas-

ODYSSEUS' SCAR

sionately apprehended—a problem which later shatters the framework of historical composition and completely overruns it with prophecy; thus the Old Testament, in so far as it is concerned with human events, ranges through all three domains: legend, historical reporting, and interpretative historical theology.

Connected with the matters just discussed is the fact that the Greek text seems more limited and more static in respect to the circle of personages involved in the action and to their political activity. In the recognition scene with which we began, there appears, aside from Odysseus and Penelope, the housekeeper Euryclea, a slave whom Odysseus' father Laertes had bought long before. She, like the swineherd Eumaeus, has spent her life in the service of Laertes' family; like Eumaeus, she is closely connected with their fate, she loves them and shares their interests and feelings. But she has no life of her own, no feelings of her own; she has only the life and feelings of her master. Eumaeus too, though he still remembers that he was born a freeman and indeed of a noble house (he was stolen as a boy), has, not only in fact but also in his own feeling, no longer a life of his own, he is entirely involved in the life of his masters. Yet these two characters are the only ones whom Homer brings to life who do not belong to the ruling class. Thus we become conscious of the fact that in the Homeric poems life is enacted only among the ruling class—others appear only in the role of servants to that class. The ruling class is still so strongly patriarchal, and still itself so involved in the daily activities of domestic life, that one is sometimes likely to forget their rank. But they are unmistakably a sort of feudal aristocracy, whose men divide their lives between war, hunting, marketplace councils, and feasting, while the women supervise the maids in the house. As a social picture, this world is completely stable; wars take place only between different groups of the ruling class; nothing ever pushes up from below. In the early stories of the Old Testament the patriarchal condition is dominant too, but since the people involved are individual nomadic or half-nomadic tribal leaders, the social picture gives a much less stable impression; class distinctions are not felt. As soon as the people completely emerges—that is, after the exodus from Egypt—its activity is always discernible, it is often in ferment, it frequently intervenes in events not only as a whole but also in separate groups and through the medium of separate individuals who come forward; the origins of prophecy seem to lie in the irrepressible politico-religious spontaneity of the people. We receive the impression that the movements emerg-

ing from the depths of the people of Israel-Judah must have been of a wholly different nature from those even of the later ancient democracies—of a different nature and far more elemental.

With the more profound historicity and the more profound social activity of the Old Testament text, there is connected yet another important distinction from Homer: namely, that a different conception of the elevated style and of the sublime is to be found here. Homer, of course, is not afraid to let the realism of daily life enter into the sublime and tragic; our episode of the scar is an example, we see how the quietly depicted, domestic scene of the foot-washing is incorporated into the pathetic and sublime action of Odysseus' homecoming. From the rule of the separation of styles which was later almost universally accepted and which specified that the realistic depiction of daily life was incompatible with the sublime and had a place only in comedy or, carefully stylized, in idyl—from any such rule Homer is still far removed. And yet he is closer to it than is the Old Testament. For the great and sublime events in the Homeric poems take place far more exclusively and unmistakably among the members of a ruling class; and these are far more untouched in their heroic elevation than are the Old Testament figures, who can fall much lower in dignity (consider, for example, Adam, Noah, David, Job); and finally, domestic realism, the representation of daily life, remains in Homer in the peaceful realm of the idyllic, whereas, from the very first, in the Old Testament stories, the sublime, tragic, and problematic take shape precisely in the domestic and commonplace: scenes such as those between Cain and Abel, between Noah and his sons, between Abraham, Sarah, and Hagar, between Rebekah, Jacob, and Esau, and so on, are inconceivable in the Homeric style. The entirely different ways of developing conflicts are enough to account for this. In the Old Testament stories the peace of daily life in the house, in the fields, and among the flocks, is undermined by jealousy over election and the promise of a blessing, and complications arise which would be utterly incomprehensible to the Homeric heroes. The latter must have palpable and clearly expressible reasons for their conflicts and enmities, and these work themselves out in free battles; whereas, with the former, the perpetually smouldering jealousy and the connection between the domestic and the spiritual, between the paternal blessing and the divine blessing, lead to daily life being permeated with the stuff of conflict, often with poison. The sublime influence of God here reaches so deeply into the everyday that the two realms of the sublime

and the everyday are not only actually unseparated but basically inseparable.

We have compared these two texts, and, with them, the two kinds of style they embody, in order to reach a starting point for an investigation into the literary representation of reality in European culture. The two styles, in their opposition, represent basic types: on the one hand fully externalized description, uniform illumination, uninterrupted connection, free expression, all events in the foreground, displaying unmistakable meanings, few elements of historical development and of psychological perspective; on the other hand, certain parts brought into high relief, others left obscure, abruptness, suggestive influence of the unexpressed, "background" quality, multiplicity of meanings and the need for interpretation, universal-historical claims, development of the concept of the historically becoming, and preoccupation with the problematic.

Homer's realism is, of course, not to be equated with classical-antique realism in general; for the separation of styles, which did not develop until later, permitted no such leisurely and externalized description of everyday happenings; in tragedy especially there was no room for it; furthermore, Greek culture very soon encountered the phenomena of historical becoming and of the "multilayeredness" of the human problem, and dealt with them in its fashion; in Roman realism, finally, new and native concepts are added. We shall go into these later changes in the antique representation of reality when the occasion arises; on the whole, despite them, the basic tendencies of the Homeric style, which we have attempted to work out, remained effective and determinant down into late antiquity.

Since we are using the two styles, the Homeric and the Old Testament, as starting points, we have taken them as finished products, as they appear in the texts; we have disregarded everything that pertains to their origins, and thus have left untouched the question whether their peculiarities were theirs from the beginning or are to be referred wholly or in part to foreign influences. Within the limits of our purpose, a consideration of this question is not necessary; for it is in their full development, which they reached in early times, that the two styles exercised their determining influence upon the representation of reality in European literature.