



Convolutional Autoencoders for Anomaly Detection in the L1 Trigger

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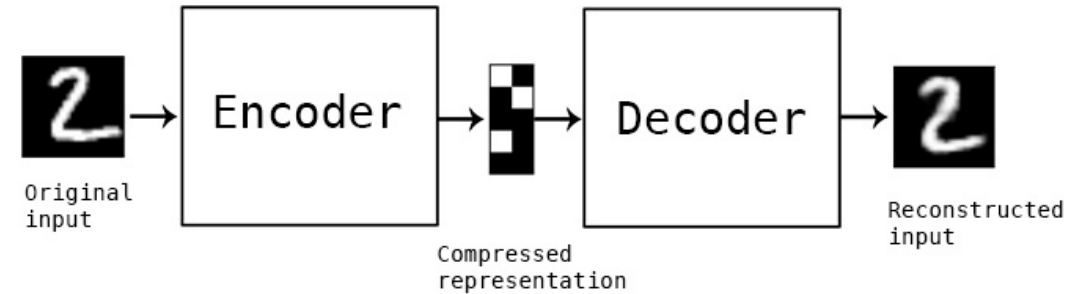


Introduction

- Main Ideas
- Prior Work
- Data
- Basic Algorithm and Results
- Network Compression
- Future Work
- Summary

Anomalies and Autoencoders

- Anomalous events may be new physics candidates
- Deep learning in real-time
- Model independent method requiring high rejection rate for low trigger rate
- Autoencoders
 - Encode input in smaller dimensional space
 - Anomalous events will fail encode/decode flow
 - Anomalous data have high loss
- Convolutional autoencoder
 - Build “image” from event object
 - Convolution learns small, meaningful features



Prior Work

- [Threshold test for identifying anomalous events in the LHC's High Level Trigger \(HLT\)](#)
 - Variational autoencoders trained on SM events
 - Mixed events -> categorize BSM events as anomalous
 - Resources - GPU
 - Latency $O(1 \text{ ms})$
- [hls4ml: Fast inference of deep neural networks in FPGAs](#)
 - Jet classifier
 - Resources - FPGA
 - Latency $\sim 100 \text{ ns}$

L1 Trigger Restrictions

- Need an online algorithm
 - Trigger system might miss anomalies
- L1 Trigger
 - Limited resources (FPGA)
 - Low latency requirements ($O(1 \mu\text{s})$)

Data - SM

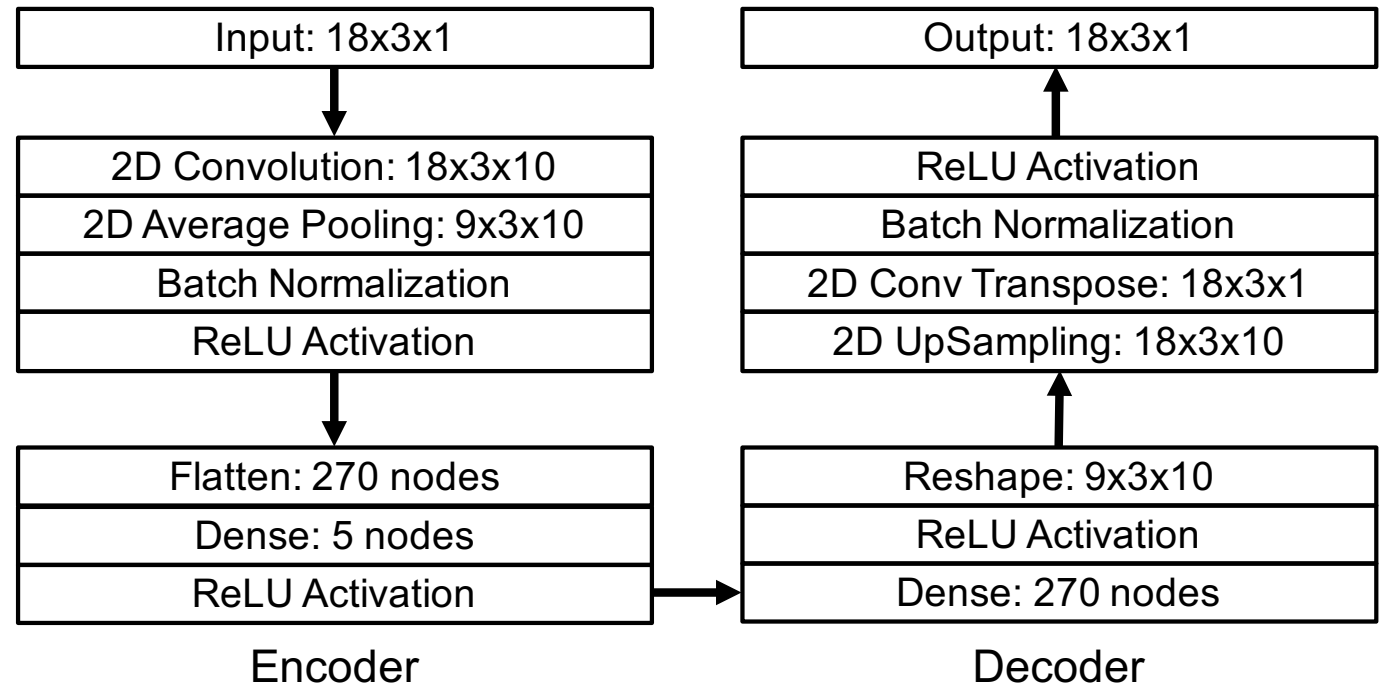
- Trained on SM, tested on BSM
- SM dominated by QCD
 - For simplicity, SM sample is 3.8 million QCD events
 - Ntuples with up to 10 jets, 4 muons, and 4 electrons
 - p_T, η, ϕ
 - Array of size [3.8M, 18, 3]

Data - BSM

- 4 sample BSM events
 - 291k VBF \rightarrow H \rightarrow invisible (VBF)
 - 50k Z' \rightarrow ZH, $M_{Z'} = 1\text{TeV}$ (ZH 1000)
 - 49k Z' \rightarrow ZH, $M_{Z'} = 0.8\text{TeV}$ (ZH 800)
 - 50k Z' \rightarrow ZH, $M_{Z'} = 0.6\text{TeV}$ (ZH 600)

Basic Algorithm

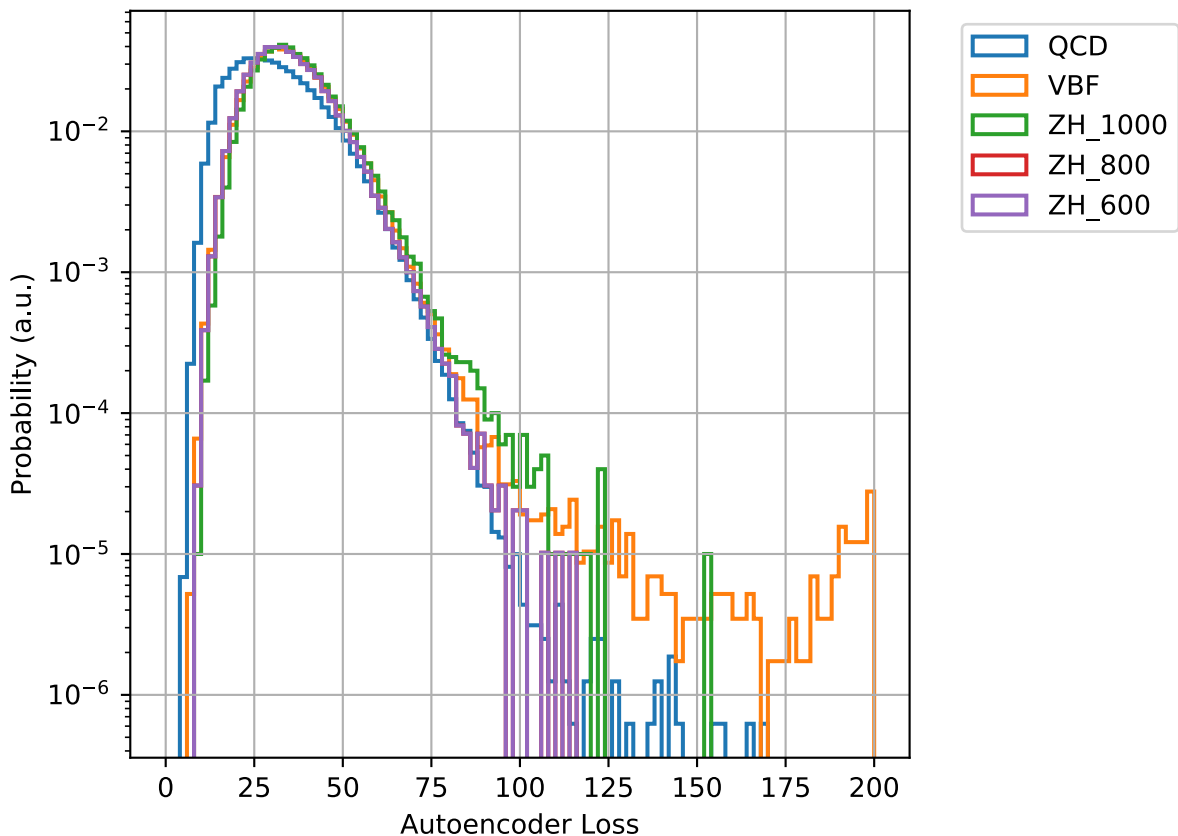
- Standardized Data
- Training, validation, test - 3:1:1 ratio
- Developed in Keras with TensorFlow
- MSE loss, Adam optimizer
- Alternative architectures -> no significant improvement
 - Latent Space Sizes
 - Coordinate Systems



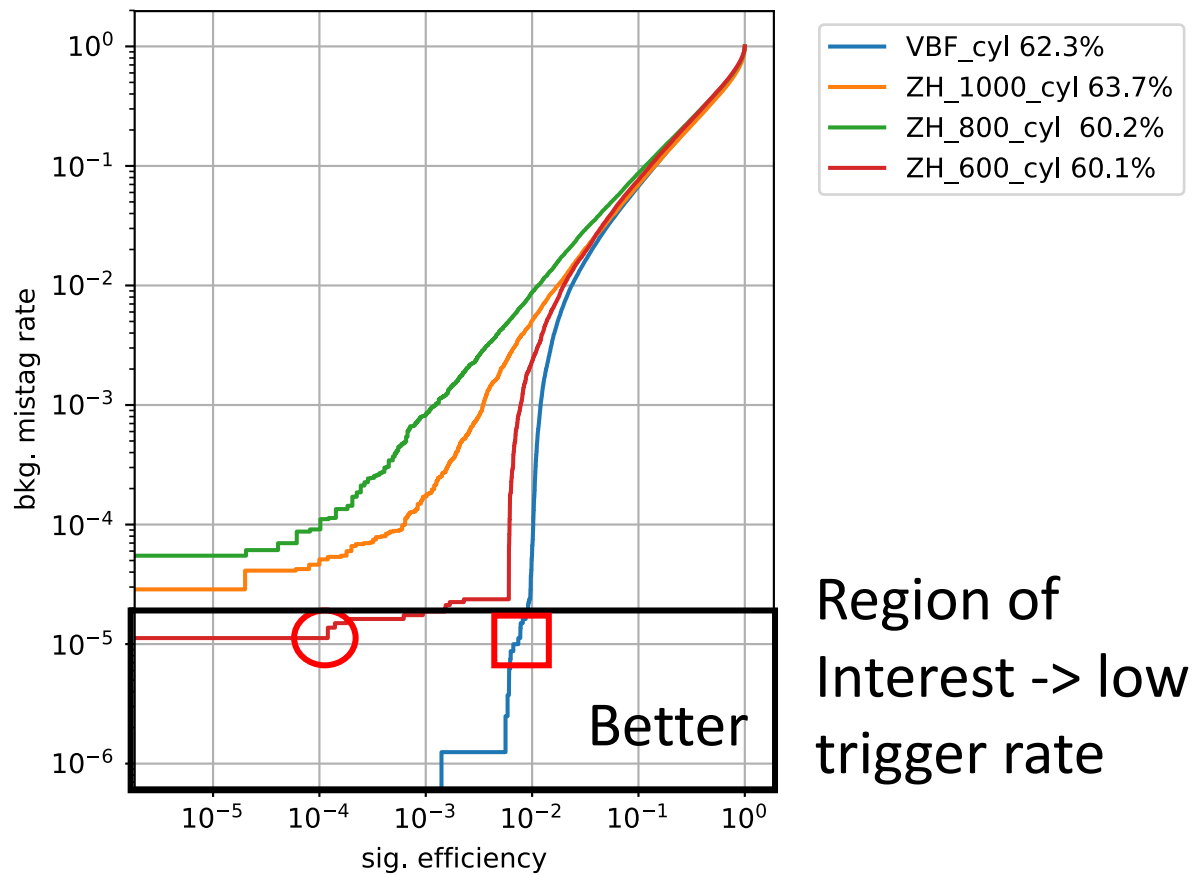
Results

59.7 kFLOPS ~ 28 ns

Loss Distributions



Loss Distribution

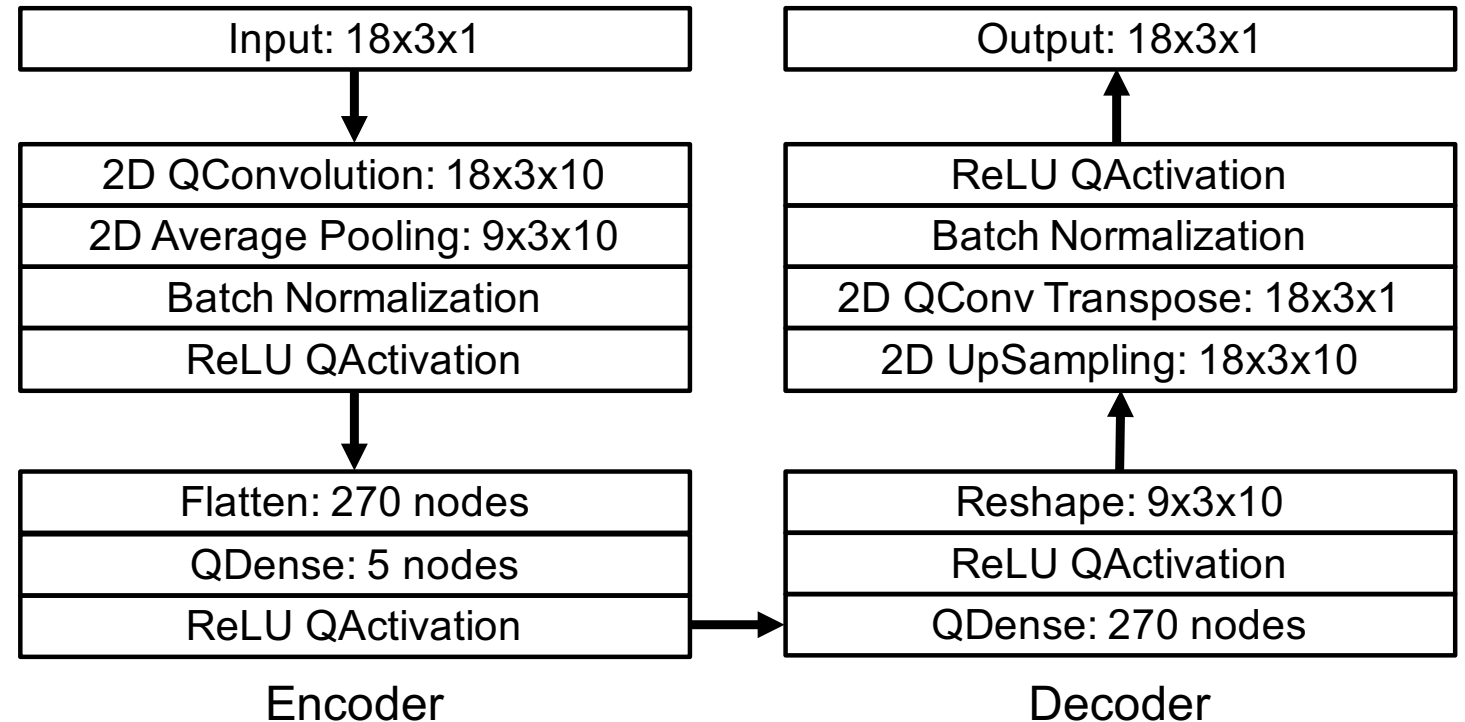


○ 10X Signal Enhancement □ 1000X Signal Enhancement

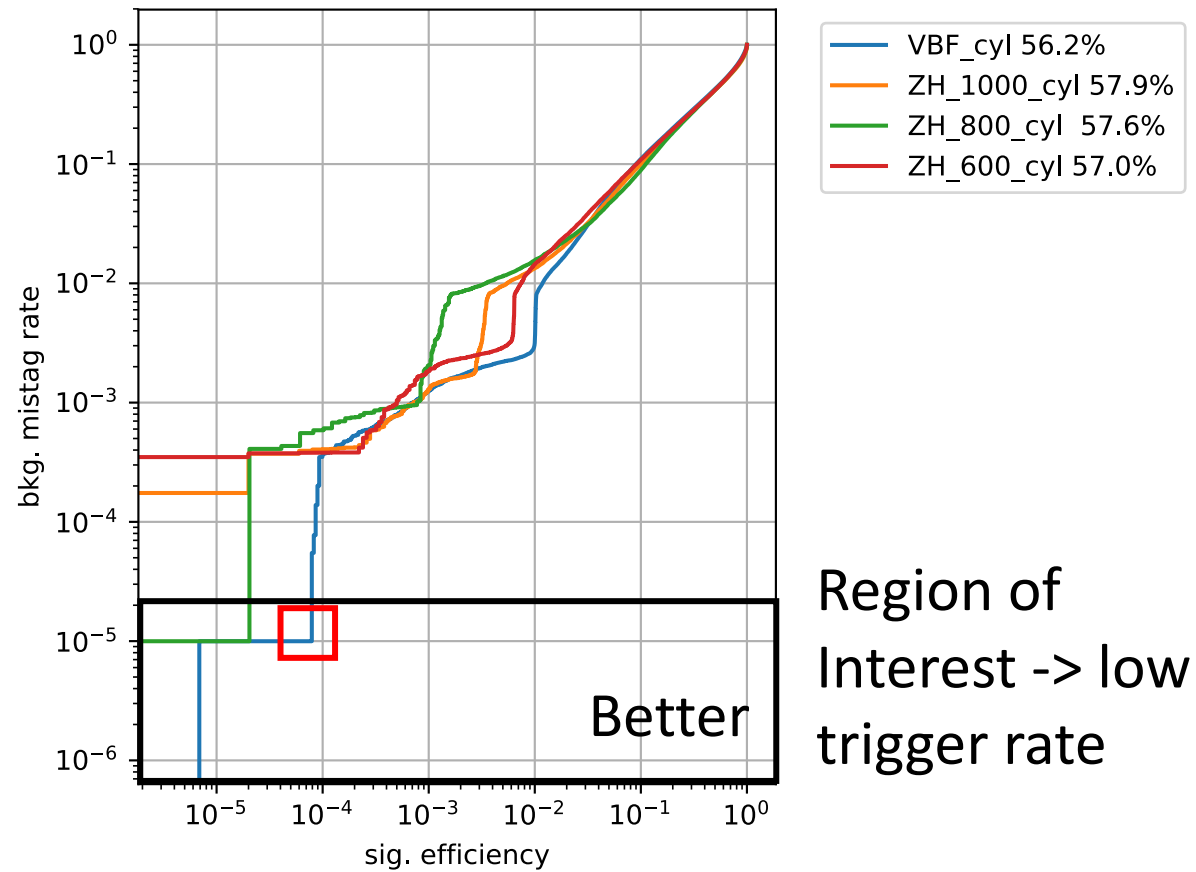
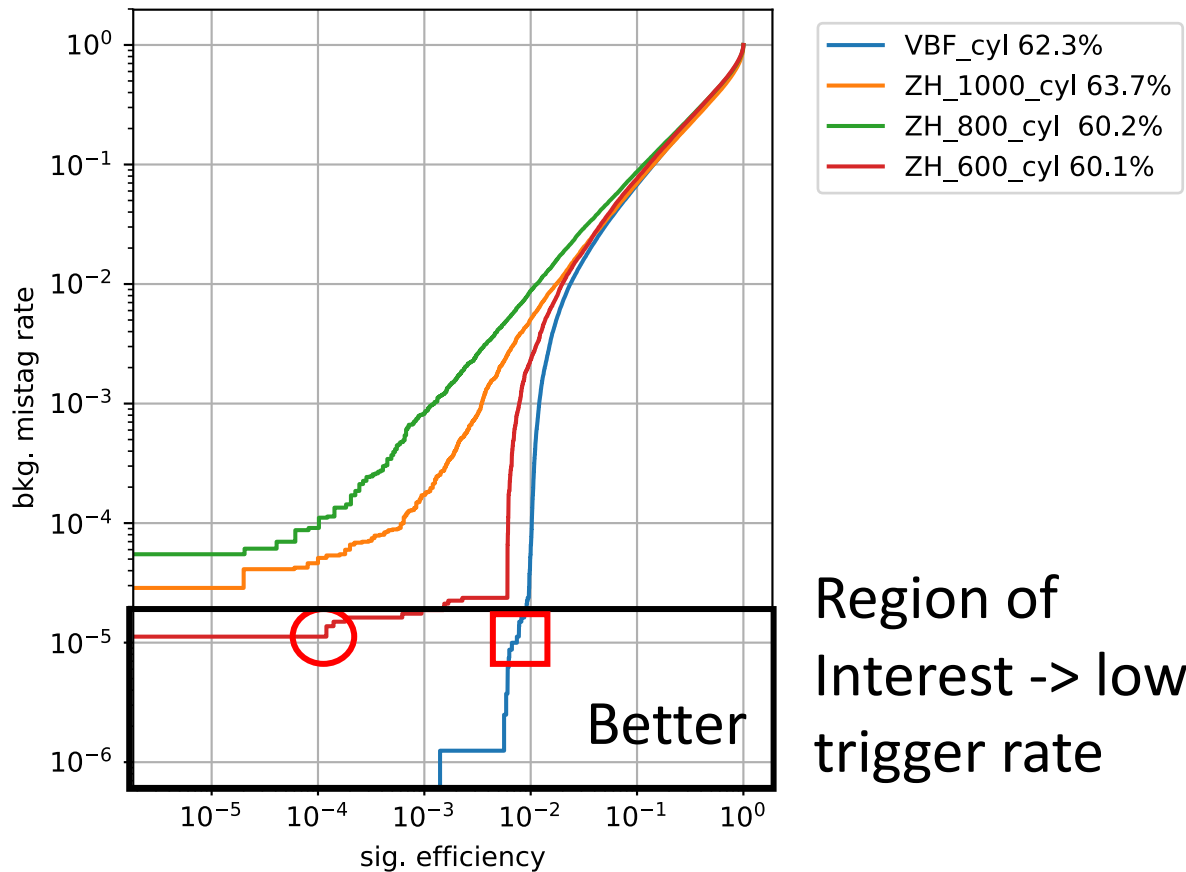
ROC Curve

Quantized Algorithm

- Further reduction of latency and resource usage
- [QKeras](#) – compressed algorithm through quantization
- Basic algorithm with quantized layers – 8 bits
 - Convolutional
 - Dense
 - Activation



Results – ROC Curves



○ 10X Signal Enhancement
□ 1000X Signal Enhancement

Factor of 15 reduction in energy

32 Bits, 85.5 nJ

□ 10X Signal Enhancement
 8 Bits, 5.64 nJ

Future

- Test on Run3 data in FPGA for resource and latency usage
 - hls4ml
- Deploy in L1 trigger

Summary

- Anomaly detection algorithm in the L1 trigger
- Real-time Machine Learning
- Model-independent method with high background rejection rate
- Convolutional autoencoder
 - trained on SM, tested on BSM
- Quantized layers to reduce resources and latency
- Resources and latency post-synthesis still being calculated

Thanks!

- MPP Team
- Boston University Study Abroad
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- Notre Dame Glynn Family Honors Program